Edgar Filing: ENTROPIC COMMUNICATIONS INC - Form 4

ENTROPIC COMMUNICATIONS INC

Form 4

December 14, 2007

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Number: January 31, Expires: 2005

OMB APPROVAL

Section 16. Form 4 or Form 5 obligations

SECURITIES

Estimated average burden hours per response... 0.5

5 Relationship of Reporting Person(s) to

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Januar Nama and Tielzer or Tradina

1(b).

Common

Stock

12/12/2007

(Print or Type Responses)

1. Name and Address of Reporting Person *

Yassini-Fard Rouzbeh			2. Issuer Name and Ticker or Trading Symbol ENTROPIC COMMUNICATIONS INC [ENTR]				Issuer (Check all applicable)				
(Last) 79 NEWBU	(First) RY STREET	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 12/12/2007				X Director 10% Owner Officer (give title below) Other (specify below)				
	(Street)			ndment, Da hth/Day/Year)	Č			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person _Form filed by More than One Reporting 			
BOSTON, N	MA 02116							Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	quired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction I (Month/Day/Ye	ear) Execution	emed on Date, if /Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	12/12/2007			C	55,534	A	(1)	55,534	I	See Footnote (4)	
Common Stock	12/12/2007			С	4,141	A	(2)	59,675	I	See Footnote (4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \mathbf{C}

46,056 A

<u>(3)</u>

105,731

Ι

See

(4)

Footnote

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	onDerivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Preferred Stock	<u>(1)</u>	12/12/2007		С		55,534	<u>(1)</u>	<u>(1)</u>	Common Stock	55,534
Series B Convertible Preferred Stock	<u>(2)</u>	12/12/2007		С		4,141	<u>(2)</u>	<u>(2)</u>	Common Stock	4,141
Series C Convertible Preferred Stock	(3)	12/12/2007		С		46,056	(3)	(3)	Common Stock	46,056

Reporting Owners

Reporting Owner Name / Address	Relationships						
FB	Director	10% Owner	Officer Other				
Yassini-Fard Rouzbeh 79 NEWBURY STREET BOSTON, MA 02116	X						

Signatures

/s/ Lance Bridges, attorney-in-fact for Rouzbeh
Yassini-Fard
12/12/2007

**Signature of Reporting Person Date

Reporting Owners 2

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Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each 2.7 shares of Series A Preferred Stock converted into one share of the Issuer's common stock upon the filing of the initial public offering for no additional consideration and had no expiration date.
- (2) Each 3.25 shares of Series B Preferred Stock converted into one share of the Issuer's common stock upon the filing of the initial public offering for no additional consideration and had no expiration date.
- (3) Each 3.25 shares of Series C Preferred Stock converted into one share of the Issuer's common stock upon the filing of the initial public offering for no additional consideration and had no expiration date.
- By YAS Broadband Ventures, LLC. Mr. Yassini is the President and Chief Executive Officer of YAS Broadband Ventures, LLC and has sole voting and investment power over these shares. Mr. Yassini disclaims beneficial ownership of these shares except to the extent of his pecuniary interest therein

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.