## Edgar Filing: Weissman William F - Form 4

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Form 4											
March 30, 201	10										
FORM	4		an an	DIFIE					PPROVA	L	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									r: 3235-02		
Check this box									January	y 31, 2005	
if no longer subject to Section 16.STATEMENT OF CHANGES IN BENEFICIAL OWNERSHI SECURITIESForm 4 or Form 5Filed pursuant to Section 16(a) of the Securities Exchange Act of 1								Estimated burden hou response	Estimated average burden hours per response		
obligations may contir <i>See</i> Instruct 1(b).	s Section 17(	a) of the H	Public U	Jtility Hol	lding Co		t of 1935 or Secti				
(Print or Type Re	esponses)										
1. Name and Ad Weissman W	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer						
		Rubico	on Techno	ology, Inc	. [RBCN]	] (Check all applicable)					
(Last)	(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)						Director 10% Owner				
C/O RUBICON TECHNOLOGY INC., 900 EAST GREEN ST. UNIT A				2009			XOfficer (give titleOther (specify below) below) CFO, Treasurer and Secretary				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year) BENSENVILLE, IL 60106						al	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)			~ • •	~ • •	Person				
(eng)	(Build)	(21)	Tat	ole I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	lly Owned	L	
	2. Transaction Date Month/Day/Year)		Date, if	3. Transactic Code (Instr. 8) Code V	4. Securit onAcquired Disposed (Instr. 3, Amount	(A) or of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature Indirect Beneficial Ownershij (Instr. 4)	1	
Damindan Dana	rt on o concrete line	for each al	and of and	unities hand	ficially an	and disactly	on indinastly.				
Kenninder. Kepo	rt on a separate line			unities bene	Perso inforr requi	ons who re nation con red to resp ays a curre	spond to the colle tained in this forn ond unless the fo ently valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab					sposed of, or convertible	• Beneficially Owner securities)	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	) Acqu Disp	Acquired (A) or Disposed of (D) (Instr. 3, 4, and				(Instr. 3 and 4)	
				Code V	V (	A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 19.21	12/08/2009		А	150	),000		<u>(1)</u>	12/08/2019	Common Stock	150,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Weissman William F C/O RUBICON TECHNOLOGY INC. 900 EAST GREEN ST. UNIT A BENSENVILLE, IL 60106			CFO, Treasurer and Secretary					
Signatures								
/s/ Scott L. Glickson, attorney-in-fact	03/30/20	010						

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Options to buy 50,000 shares that vest over time, with 25,000 shares vesting at the end of three years and 25,000 vesting at the end of four years. Options to buy 50,000 shares that vest if the company achieves certain specified annual revenue and net earnings targets by

(1) December 31, 2012. Options to buy an additional 50,000 shares that vest if the company achieves certain higher specified annual revenue and net earnings targets by December 31, 2012. All options will vest upon a change in control. The options are otherwise subject to the standard terms and conditions of the Rubicon Technology, Inc. 2007 Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.