### Edgar Filing: COLLETT LAWRENCE A - Form 4

#### **COLLETT LAWRENCE A**

Form 4

November 03, 2010

Check this box

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

**SECURITIES** 

Estimated average burden hours per

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* COLLETT LAWRENCE A

2. Issuer Name and Ticker or Trading

Symbol

5. Relationship of Reporting Person(s) to Issuer

below)

CASS INFORMATION SYSTEMS

(Check all applicable)

INC [CASS]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title

13001 HOLLENBERG DRIVE

(Street)

(State)

11/03/2010

(Middle)

(Zip)

11/03/2010

4. If Amendment, Date Original

Applicable Line)

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

BRIDGETON, MO 63044

(City)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3) any (Month/Day/Year)

3. 4. Securities Acquired 5. Amount of Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially Beneficial (D) or Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

D

Ι

Reported (A) Transaction(s) or

(Instr. 3 and 4)

Amount Code V (D) Price 10,600 \$0 G D

(4)

 $106,320 \frac{(3)}{}$ 

Shares held by

Common Stock

Common

Stock

72,777

spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
Employee Stock Option (right to buy)	\$ 12.23					01/02/2011(1)	01/02/2011	Common Stock	4,217
Employee Stock Option (right to buy)	\$ 14.75					01/02/2012(1)	01/02/2012	Common Stock	4,035
Employee Stock Option (right to buy)	\$ 20.67					01/02/2013(1)	01/02/2013	Common Stock	13,719
Stock Appreciation Rights	\$ 28.41					01/22/2009(2)	01/22/2018	Common Stock	29,950

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting 6 wher runner runners	Director	10% Owner	Officer	Other		
COLLETT LAWRENCE A 13001 HOLLENBERG DRIVE BRIDGETON, MO 63044	X					

## **Signatures**

/s/ Lawrence A.
Collett

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercisable date may be accelerated based on attainment of certain financial performance criteria.

Reporting Owners 2

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- (2) Over a three-year vesting period, SARs become exercisable in one-third increments on the anniversary date of the grant.
- (3) Includes restricted stock subject to vesting and forteiture.
- (4) Transaction represents restricted stock grant; shares vest equally over three years on anniversary date of the grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.