Edgar Filing: JOG VIKRAM - Form 4

JOG VIKRA	AM								
Form 4									
February 17	, 2011								
FORM	ЛЛ						OMB A	PPROVAL	
-	UNII	TED STATES	S SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 F CHANGES IN BENEFICIAL OWNERSHIP OF				OMB Number:	3235-0287	
Check th if no lon	aer.						Expires:	January 31,	
subject t	<u> </u>	TEMENT O					Estimated a	2005 average	
Section				SECUR	ITIES	burden hours per			
Form 4 o							response 0.5		
Form 5 obligatio		^			e Securities Exchang				
may con				•	ing Company Act o		n		
See Instr		30(h)	of the In	vestment	Company Act of 194	40			
1(b).									
(Print or Type	Responses)								
	Address of Repo	orting Person [*]	2. Issuer Name and Ticker or Trading			5. Relationship of Reporting Person(s) to			
JOG VIKRAM			Symbol			Issuer			
			FLUIDIGM CORP [FLDM]			(Check all applicable)			
(Last)	(Last) (First) (Middle)			3. Date of Earliest Transaction			k all applicable	-)	
			(Month/D	ay/Year)		Director 10% Owner			
FLUIDIGM CORPORATION, 7000			02/15/2011			$X_Officer (give title Other (specify O$			
SHORELINE COURT, SUITE 100						below) below) Chief Financial Officer			
	(Streat)		4 10 4	1 (D					
(Street)			4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
			Filed(Mon	th/Day/Year)	1	Applicable Line) _X_ Form filed by ()ne Reporting Pe	rson	
SOUTH SA	N					Form filed by M			
	CO, CA 9408	0				Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative Securities Acc	quired, Disposed of	, or Beneficial	ly Owned	
1.Title of	2. Transactio	ansaction Date 2A. Deer		3.	4. Securities Acquired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/	Year) Execution			on(A) or Disposed of	Securities	Form: Direct		
(Instr. 3)		any (Month/	Day/Vaar)	Code	(D) (Instr. 2. 4 and 5)	•	(D) or	Beneficial	
		(Month/	Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	

			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)	(IIISU. 4)	(IIISU. 4)
Common Stock	02/15/2011	02/15/2011	X <u>(1)</u>	2,064	А	\$ 0 (2)	2,064	Ι	See Footnote (3)
Common Stock	02/15/2011	02/15/2011	F <u>(1)</u>	3	D	\$ 13.5	2,061	Ι	See Footnote

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: JOG VIKRAM - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pı Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Series E-1 Preferred Warrant (Right to Buy)	\$ 0.02	02/15/2011	02/15/2011	х	2,064	01/06/2011	(4)(5)	Common Stock	2,064	•

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
JOG VIKRAM FLUIDIGM CORPORATION 7000 SHORELINE COURT, SUITE 10 SOUTH SAN FRANCISCO, CA 94080			Chief Financial Officer				
Signatures							
/s/ William Smith,	02/16/2011						

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock issued upon the exercise and subsequent conversion of a Warrant to purchase shares of Series E-1 Preferred Stock and has no expiration date.
- (2) Not applicable.

attorney-in-fact

**Signature of Reporting Person

(3) The Vikram and Pratima Jog Family Trust U/A dated June 23, 2009 is the record holder of the securities reported. Vikram and Pratima Jog are trustees of the Vikram and Pratima Family Trust U/A dated June 23, 2009.

(4)

Edgar Filing: JOG VIKRAM - Form 4

Each share of Series E-1 Preferred Stock automatically converted into one share of Common Stock on February 15, 2011, the closing date of the Issuer's initial public offering (the "Closing").

(5) The warrant was automatically net exercised immediately prior to the Closing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.