#### **BLOCK STEPHEN A** Form 4/A March 03, 2011

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or Form 5 obligations

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* **BLOCK STEPHEN A** 

> (First) (Middle)

10005 MUIRLANDS BOULEVARD, SUITE G

(Street)

**IRVINE, CA 92618** 

2. Issuer Name and Ticker or Trading Symbol

ChromaDex Corp. [CDXC.OB]

3. Date of Earliest Transaction (Month/Day/Year)

05/20/2010

4. If Amendment, Date Original

Filed(Month/Day/Year) 05/24/2010

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

X\_ Director 10% Owner Officer (give title Other (specify below)

6. Individual or Joint/Group Filing(Check

Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

5. Amount of

Securities

(State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(City)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Code V Amount (D) Price

Beneficially Owned Following Reported (A) Transaction(s) or (Instr. 3 and 4)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4)

(Instr. 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number of TransactionDerivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amou Underlying Securi (Instr. 3 and 4)

#### Edgar Filing: BLOCK STEPHEN A - Form 4/A

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of ( (Instr. 3, 4, ar 5)	(D)			
				Code V	(A) (	(D) Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A	113,750	05/20/2011(1)	05/20/2020	Common Stock	113
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A	113,750	05/20/2011(2)	05/20/2020	Common Stock	113
Employee Stock Options (right to buy)	\$ 1.545	05/20/2010		A	97,500	<u>(3)</u>	05/20/2020	Common Stock	97

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of the relation	Director	10% Owner	Officer	Other		
BLOCK STEPHEN A 10005 MUIRLANDS BOULEVARD SUITE G IRVINE, CA 92618	X					
<u>^</u>						

### **Signatures**

Reporting Person

/s/ Stephen A.
Block

\*\*Signature of Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vest 50% over two years beginning on May 20, 2011.
  - Options vest 50% over two years beginning on May 20, 2011; provided further that these options are only exercisable based on the percentage of warrants exercised as of such vesting date that were issued under that certain Subscription Agreement, dated as of April 22,
- (2) 2010, between the Issuer and the subscribers named therein. Specifically, if 25% to 49.9% of such warrants have been exercised, then 25% of of these options are exercisable; if 50% to 74% of such warrants have been exercised, then 50% of these options are exercisable; and if 75% or more of such warrants have been exercised, then 100% of these options are exercisable.

(3) Options vest 1/12 every month for twelve months.

Reporting Owners 2

### Edgar Filing: BLOCK STEPHEN A - Form 4/A

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.