## Edgar Filing: KAVANAUGH JAMES J - Form 4

KAVANAUC	GH JAMES J										
Form 4											
February 16, 2	2012										
FORM	4					~~~	COMMISSIO		PPROVAL		
	N OMB Number:	3235-0287									
Check this if no longe	<b></b>							Expires:	January 31,		
subject to Section 16 Form 4 or	<b>SIAIEN</b> 5.	WNERSHIP OF	Estimated burden ho response	urs per							
Form 5 obligation may contin <i>See</i> Instruct 1(b).	s Section 17(	a) of the Pu	ublic U	tility Ho	lding Coi		nge Act of 1934, of 1935 or Secti 940				
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> KAVANAUGH JAMES J				er Name <b>an</b>		C	5. Relationship of Reporting Person(s) to Issuer				
		INTERNATIONAL BUSINESS MACHINES CORP [IBM]				(Check all applicable)					
(Last)	(First) (1	, ,	3. Date of Earliest Transaction				Director 10% Owner X_ Officer (give title Other (specify				
IBM CORPORATION, ONE NEW(Month/Day/ 02/15/2012ORCHARD ROAD02/15/2012				-		VP, Controller	below)				
		4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check					
Filed(Mc									One Reporting Person More than One Reporting		
ARMONK, I	NY 10504						Person		ceporting		
(City)	(State)	(Zip)	Tab	le I - Non-	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deen Execution any (Month/E			Date, if TransactionAcquired ( Code Disposed of ny/Year) (Instr. 8) (Instr. 3, 4			(A) or of (D) 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
						(A) or	Transaction(s)				
				Code V	Amount	(D) Price	(Instr. 3 and 4)				
Reminder: Repo	ort on a separate line	for each clas	s of secu	urities bene	ficially ow	ned directly	or indirectly.				
Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.								SEC 1474 (9-02)			
	Tab					posed of, or convertible :	Beneficially Owner securities)	1			

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.		6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNu	nber	Expiration Date	Underlying Securities	Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	So A (A D of (I	of (Month/Day/Year) Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		(Instr. 3 and 4)		Security (Instr. 5)	
				Code V	V (4	A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	\$ 0 <u>(1)</u>	02/15/2012		A <u>(2)</u>	8	3	(3)	(3)	Common Stock	8	\$ 192.25
Phantom Stock Unit	\$ 0 <u>(1)</u>	02/15/2012		A <u>(2)</u>		1	(3)	(3)	Common Stock	1	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
KAVANAUGH JAMES J IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504			VP, Controller					
Signatures								
D. Cummins on behalf of J. J. Kavanaugh		02/16/2	2012					
**Signature of Reporting Person		Date						

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- $(3) \frac{\text{Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.}{}$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.