

CRESCENDO IV LP
Form 4
May 02, 2012

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Crescendo Ventures IV, LLC

(Last) (First) (Middle)

C/O CRESCENDO
VENTURES, 600 HANSEN WAY

(Street)

PALO ALTO, CA 94304

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ENVIVIO INC [ENVI]

3. Date of Earliest Transaction
(Month/Day/Year)
04/30/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___X___ 10% Owner
___ Officer (give title below) ___ Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

___ Form filed by One Reporting Person
X Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Common Stock	04/30/2012		C		102,855	A	11	452,653	I	By Crescendo Holdings IV, LLC ⁽²⁾
Common Stock	04/30/2012		C		11,914	A	11	110,324	I	By Crescendo IV AG & Co. Beteiligungs KG ⁽³⁾
Common Stock	04/30/2012		C		118,011	A	11	194,255	I	By Crescendo IV Coinvestment Fund, LLC ⁽⁴⁾

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Common Stock	04/30/2012		C	4,043	A	Ⓛ	10,616	I	By Crescendo IV Entrepreneur Fund A, L.P. <u>(5)</u>
Common Stock	04/30/2012		C	9,885	A	Ⓛ	26,153	I	By Crescendo IV Entrepreneur Fund, L.P. <u>(6)</u>
Common Stock	04/30/2012		C	652,086	A	Ⓛ	2,634,846	I	By Crescendo IV, L.P. <u>(7)</u>
Common Stock	04/30/2012		S	15,049	D	\$ 8.37	437,604	I	By Crescendo Holdings IV, LLC <u>(2)</u>
Common Stock	04/30/2012		S	3,668	D	\$ 8.37	106,656	I	By Crescendo IV AG & Co. Beteiligungs KG <u>(3)</u>
Common Stock	04/30/2012		S	6,453	D	\$ 8.37	187,802	I	By Crescendo IV Coinvestment Fund, LLC <u>(4)</u>
Common Stock	04/30/2012		S	353	D	\$ 8.37	10,263	I	By Crescendo IV Entrepreneur Fund A, L.P. <u>(5)</u>
Common Stock	04/30/2012		S	869	D	\$ 8.37	25,284	I	By Crescendo IV Entrepreneur Fund, L.P. <u>(6)</u>
Common Stock	04/30/2012		S	87,635	D	\$ 8.37	2,547,211	I	By Crescendo IV, L.P. <u>(7)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8.
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Derivative Security	Code	V	Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
			(A)	(D)					
Series G2 Preferred Stock	(1)		04/30/2012	C	21,810	(1)	(1)	Common Stock	21,810
Series G2 Preferred Stock	(1)		04/30/2012	C	4,217	(1)	(1)	Common Stock	4,217
Series G2 Preferred Stock	(1)		04/30/2012	C	40,879	(1)	(1)	Common Stock	40,879
Series G2 Preferred Stock	(1)		04/30/2012	C	1,431	(1)	(1)	Common Stock	1,431
Series G2 Preferred Stock	(1)		04/30/2012	C	3,499	(1)	(1)	Common Stock	3,499
Series G2 Preferred Stock	(1)		04/30/2012	C	231,701	(1)	(1)	Common Stock	231,701
Series H2 Preferred Stock	(1)		04/30/2012	C	81,045	(1)	(1)	Common Stock	81,045
Series H2 Preferred Stock	(1)		04/30/2012	C	7,697	(1)	(1)	Common Stock	7,697
Series H2 Preferred Stock	(1)		04/30/2012	C	77,132	(1)	(1)	Common Stock	77,132
Series H2 Preferred Stock	(1)		04/30/2012	C	2,612	(1)	(1)	Common Stock	2,612
	(1)		04/30/2012	C	6,386	(1)	(1)		6,386

Series H2 Preferred Stock								Common Stock	
Series H2 Preferred Stock	<u>(1)</u>	04/30/2012	C	420,385	<u>(1)</u>	<u>(1)</u>		Common Stock	420,385

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Crescendo Ventures IV, LLC C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
Crescendo German Investments IV, LLC C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
Crescendo IV Coinvestment Fund, LLC C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
Crescendo IV AG & Co. Beteiligungs KG C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
CRESCENDO IV LP C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
Crescendo Holdings IV, LLC C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
CRESCENDO IV ENTREPRENEURS FUND A LP C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		
Crescendo IV Entrepreneurs Fund, LP C/O CRESCENDO VENTURES 600 HANSEN WAY PALO ALTO, CA 94304		X		

Signatures

/s/ R. David Spreng, Managing Member	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member of Crescendo German Investments IV, LLC, its General Partner	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member of Crescendo Ventures IV, LLC, its General Partner	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member of Crescendo Ventures IV, LLC, its Manager	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member of Crescendo Ventures IV, LLC, its General Partner	04/30/2012
__Signature of Reporting Person	Date
/s/ R. David Spreng, Managing Member of Crescendo Ventures IV, LLC, its General Partner	04/30/2012
__Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Series G2 and H2 Preferred Stock automatically converted into shares of common stock upon the closing of the Issuer's initial public offering, on a one-for-one basis and had no expiration date.
Consists of shares owned by Crescendo Holdings. Crescendo Ventures, as the Manager of Crescendo Holdings, may be deemed to beneficially own the shares owned by Crescendo Holdings. Mr Spreng and Crescendo Ventures disclaim beneficial ownership of the shares owned by Crescendo Holdings except to the extent of his or its pecuniary interest therein.
 - (2) Consists of shares owned by Crescendo KG. Crescendo GI, as the Managing Partner of Crescendo KG, may be deemed to beneficially own the shares owned by Crescendo KG. Mr Spreng and Crescendo GI disclaim beneficial ownership of the shares owned by Crescendo KG except to the extent of his or its pecuniary interest therein.
 - (3) Consists of shares owned by Crescendo Coinvestment. Mr Spreng as the Managing Member of Crescendo Coinvestment, may be deemed to beneficially own the shares owned by Crescendo Coinvestment. Mr Spreng disclaims beneficial ownership of the shares owned by Crescendo Coinvestment except to the extent of his or its pecuniary interest therein.
 - (4) Consists of shares owned by Crescendo EFA. Crescendo Ventures, as the General Partner of Crescendo EFA, may be deemed to beneficially own the shares owned by Crescendo EFA. Mr Spreng and Crescendo Ventures disclaim beneficial ownership of the shares owned by Crescendo EFA except to the extent of his or its pecuniary interest therein.
 - (5) Consists of shares owned by Crescendo EF. Crescendo Ventures, as the General Partner of Crescendo EF, may be deemed to beneficially own the shares owned by Crescendo EF. Mr Spreng and Crescendo Ventures disclaim beneficial ownership of the shares owned by
 - (6)

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Crescendo EF except to the extent of his or its pecuniary interest therein.

- (7) Consists of shares owned by Crescendo IV. Crescendo Ventures, as the General Partner of Crescendo IV, may be deemed to beneficially own the shares owned by Crescendo IV. Mr Spreng and Crescendo Ventures disclaim beneficial ownership of the shares owned by Crescendo IV except to the extent of his or its pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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