### Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

#### INTERNATIONAL BUSINESS MACHINES CORP

Form 4

August 08, 2012

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* SANFORD LINDA S

2. Issuer Name and Ticker or Trading

Issuer

below)

Symbol

INTERNATIONAL BUSINESS MACHINES CORP [IBM]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last) (First) 3. Date of Earliest Transaction

Director X\_ Officer (give title

10% Owner Other (specify

IBM CORPORATION, 294 ROUTE

08/07/2012

(Month/Day/Year)

(Street)

(Middle)

Senior Vice President

100

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**SOMERS, NY 10589** 

(City)	(State)	(Zip) Tak	ole I - Non	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	omr Dispos (Instr. 3,	ed of	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	08/07/2012		M	21,456	` /	\$ 91.04	21,456	D	
Common Stock	08/07/2012		M	4,375	A	\$ 92.12	25,831	D	
Common Stock	08/07/2012		M	9,900	A	\$ 101.33	35,731	D	
Common Stock	08/07/2012		S	3,072	D	\$ 200.32	32,659	D	
Common Stock	08/07/2012		S	2,800	D	\$ 200.33	29,859	D	

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Common Stock	08/07/2012	S	300	D	\$ 200.34	29,559	D	
Common Stock	08/07/2012	S	400	D	\$ 200.375	29,159	D	
Common Stock	08/07/2012	S	300	D	\$ 200.36	28,859	D	
Common Stock	08/07/2012	S	57	D	\$ 200.35	28,802	D	
Common Stock	08/07/2012	S	6,043	D	\$ 200.37	22,759	D	
Common Stock	08/07/2012	S	16,659	D	\$ 200.38	6,100	D	
Common Stock	08/07/2012	S	6,100	D	\$ 200.39	0	D	
Common Stock						27,296.8159	I (1)	trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year	-		7. Title and Amount o Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share	
Emp. Stock Option (right to buy)	\$ 91.04	08/07/2012		M	21,456	05/08/2010(2)	05/07/2016	Common Stock	21,456	
Emp. Stock Option (right to	\$ 92.12	08/07/2012		M	4,375	03/08/2008(3)	03/07/2015	Common Stock	4,375	

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buy)

Emp.

(right to buy)

Stock Option \$ 101.33 08/07/2012

M

9,900 03/08/2009(2) 03/07/2015

Common Stock

9,900

**Reporting Owners** 

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer

Other

SANFORD LINDA S IBM CORPORATION 294 ROUTE 100 SOMERS, NY 10589

Senior Vice President

**Signatures** 

D. Cummins on behalf of L. S. Sanford

08/08/2012

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person disclaims beneficial ownership of the securities, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) This grant vested in four equal annual installments; the last installment vested on the date shown above.
- (3) This grant vested in one installment on the date shown above.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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