## Edgar Filing: KAVANAUGH JAMES J - Form 4

KAVANAUGH	I JAMES J										
Form 4											
October 01, 201	12										
FORM 4	<b>4 INTER</b>		CECU				E COMMISSION	т	PPROVAL		
-	Number:	3235-0287									
Check this b if no longer									January 31, 2005		
subject to Section 16. Form 4 or Form 5				WNERSHIP OF	Estimated	stimated average urden hours per					
obligations may continu <i>See</i> Instruction 1(b).	e. Section 17(	a) of the	Public U		lding Con	npany Act	of 1935 or Section	on			
(Print or Type Resp	ponses)										
1. Name and Addr KAVANAUG	2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer						
	INTERNATIONAL BUSINESS MACHINES CORP [IBM]				(Check all applicable)						
(Last) (First) (Middle)			3. Date of Earliest Transaction				Director 10% Owner X Officer (give title Other (specify				
IBM CORPOR ORCHARD R	(Month/Day/Year) 09/28/2012				below) below) VP, Controller						
	(Street)		4. If Am	endment, D	ate Original		6. Individual or	Joint/Group Fil	ing(Check		
			Filed(M	onth/Day/Yea	ur)		Applicable Line) _X_ Form filed by	One Reporting	Person		
ARMONK, N	Y 10504							More than One F			
(City)	(State)	(Zip)	Tal	ole I - Non-l	Derivative	Securities A	Acquired, Disposed	of, or Beneficia	ally Owned		
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deem Execution any (Month/Day/Year)			Date, if TransactionAcquired (A) or Code Disposed of (D) ay/Year) (Instr. 8) (Instr. 3, 4 and 5) (A)			(A) or of (D) and 5) (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
				Code V	Amount	or (D) Price	(Instr. 3 and 4)				
						(_)					
Reminder: Report	on a separate line	e for each c	lass of sec	urities bene	-	-	-				
					inform require	ation con ed to resp ys a curre	spond to the colle tained in this form ond unless the fo ntly valid OMB co	n are not rm	SEC 1474 (9-02)		
	Tab	la II - Dori	vativa Sa	ourities A co	wired Dis	used of a	Beneficially Owned				
	1 40			ls, warrants							

1. Title of	2.	3. Transaction Date	3A. Deemed	4. 5.	6. Date Exercisable and	7. Title and Amount of	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber	Expiration Date	Underlying Securities	Derivative

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Security (Instr. 3)	or Exercise Price of Derivative Security		any (Month/Day/Year)	Code (Instr. 8	3)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			(Instr. 3 and 4)		Security (Instr. 5)
				Code	V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock Unit	\$ 0 <u>(1)</u>	09/28/2012		A <u>(2)</u>		7	(3)	(3)	Common Stock	7	\$ 207.45
Phantom Stock Unit	\$ 0 <u>(1)</u>	09/28/2012		A <u>(2)</u>		3	(3)	(3)	Common Stock	3	\$ 0

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
KAVANAUGH JAMES J IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504			VP, Controller				
Signatures							
D. Cummins on behalf of J. J. Kavanaugh		10/01/2012					
**Signature of Reporting Person		Date					

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- $(3) \frac{\text{Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting person may transfer these phantom stock units into an alternative investment account under such plan.}{}$

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.