Gallagher Timothy J Form 4 December 03, 2012

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Expires:

Check this box if no longer subject to Section 16.

3235-0287 Number: January 31,

2005

OMB APPROVAL

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

(First)

(Street)

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

Gallagher Timothy J

GENESEE & WYOMING INC [GWR]

(Check all applicable)

(Last)

(Middle)

3. Date of Earliest Transaction

_X__ Officer (give title . below)

Director

10% Owner _ Other (specify

(Month/Day/Year) 11/29/2012

Chief Financial Officer

66 FIELD POINT ROAD

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

GREENWICH, CT 06830

| (City) | (State) | ^(Zip) Tabl | e I - Non-D | erivative S | Securi | ties Acqu | iired, Disposed of | , Disposed of, or Beneficially Owned | | | | |
|--|--------------------------------------|---|---|--|-----------|------------------|--|--|---|--|--|--|
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired saction(A) or Disposed of (D) (Instr. 3, 4 and 5) r. 8) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | |
| | | | Code V | Amount | or (D) | Price | (Instr. 3 and 4) | | | | | |
| Class A Common Stock, \$.01 par value | 11/29/2012 | | M | 27,943 | A | \$ 39.08 | 90,852 | D | | | | |
| Class A Common Stock, \$.01 par value | 11/29/2012 | | S | 27,943 | D | \$ 73.04 (1) (2) | 62,909 | D | | | | |
| Class A Common Stock, \$.01 par value | 11/30/2012 | | A | 1,172 (3) | A | \$ 0 | 64,081 | D | | | | |

Edgar Filing: Gallagher Timothy J - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| I S | . Title of Derivative ecurity (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of stiorDerivative Securities 3) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|--------|--|---|--------------------------------------|---|---|---|--------|--|--------------------|---|------------------------------------|
| | | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| (| Stock Option Right to Buy) | \$ 39.08 | 11/29/2012 | | M | | 27,943 | <u>(4)</u> | 05/26/2013 | Class A Common Stock, \$.01 par value | 27,943 |
| (| Stock Option Right to Buy) | \$ 72.95 | 11/30/2012 | | A | 4,414 | | <u>(5)</u> | 11/29/2017 | Class A Common Stock, \$.01 par value | 4,414 |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Gallagher Timothy J 66 FIELD POINT ROAD GREENWICH, CT 06830

Chief Financial Officer

Signatures

Allison M. Fergus, Attorney-in-Fact for Timothy J. Gallagher

12/03/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Reporting Person undertakes to provide, upon request by the Securities and Exchange Commission staff, the Issuer or a security

(1) holder of the Issuer, full information regarding the number of shares sold at each separate price for all transactions reported in this Form

4.

Reporting Owners 2

Edgar Filing: Gallagher Timothy J - Form 4

- (2) Represents the weighted average sales price for the price increments ranging from \$72.76 and \$73.34.
- (3) This restricted stock award was granted under the Genesee & Wyoming Inc. Second Amended and Restated 2004 Omnibus Incentive Plan and will vest in three equal annual installments, beginning February 28, 2013.
- This option award was granted under the Genesee & Wyoming Inc. Second Amended and Restated 2004 Omnibus Incentive Plan and (4) 25,385 of the options vested in three equal installments beginning May 27, 2009 and the remaining 2,558 of the options vested on May 27, 2011.
- (5) This option award was granted under the Genesee & Wyoming Inc. Second Amended and Restated 2004 Omnibus Incentive Plan and will vest in three equal annual installments, beginning February 28, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.