Ryan Patrick Form 4 January 08, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person * Ryan Patrick

(First) (Middle) (Last)

C/O ALPHATEC SPINE, INC., 5818 EL CAMINO REAL

(Street)

(State)

2. Issuer Name and Ticker or Trading Symbol

Alphatec Holdings, Inc. [ATEC]

3. Date of Earliest Transaction (Month/Day/Year)

01/04/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

Estimated average burden hours per

response...

0.5

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner X_ Officer (give title Other (specify

below)

Chief Operating Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

CARLSBAD, CA, CA 92008

(City)

Security

(Instr. 3)

1. Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year) Execution Date, if

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) (Instr. 4)

Ownership (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Code V Amount (D) Price

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amoun Underlying Securiti (Instr. 3 and 4)

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(Month/Day/Year) (Instr. 8) Acquired (A) or

	Derivative Security		Disposed of (D) (Instr. 3, 4, and 5)					
			Code V	7 (A)	(D) Date Exercisable	e Expiration Date	Title	Amo Num Share
Incentive Stock Option	\$ 1.72	01/04/2013	A	125,000	01/04/2014 <u>(4</u>	01/04/2023	Common Stock	125
NQ Stock Option	\$ 2.05				12/19/2013 <u>(2</u>	<u>)</u> 12/19/2019	Common Stock	250 <u>(</u>

Reporting Owners

Price of

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

Ryan Patrick C/O ALPHATEC SPINE, INC. 5818 EL CAMINO REAL CARLSBAD, CA, CA 92008

Chief Operating Officer

Signatures

(Instr. 3)

/s/ Ebun S. Garner, esq., Attorney-in-fact

01/07/2013

**Signature of Reporting Person Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- This option grant was made after the holder agreed to forfeit 25,000 options granted to him on April 25, 2011. This transaction is described in greater detail in a Schedule TO that the issuer filed with the SEC on December 19, 2012.
- The options vests over three years with one third vesting on the anniversary of the grant date and the remaining two thirds vesting each **(2)** three months thereafter in eight tranches.
- (3) Exercise price per share.
- The options vests over four years with one quarter vesting on the anniversary of the grant date and the remaining three quarters vesting each three months thereafter in 12 tranches.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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