

McCallum Mark I  
Form 4  
March 15, 2013

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
McCallum Mark I

2. Issuer Name and Ticker or Trading Symbol  
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
850 DIXIE HIGHWAY  
(Street)

3. Date of Earliest Transaction (Month/Day/Year)  
03/14/2013

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP/Pres Eur Afr Asia Pac TR

LOUISVILLE, KY 40210

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Class A Common					21,754.5 <sup>(1)</sup>	D	
Class B Common	03/14/2013	03/14/2013	M		15,844	A	\$ 18.94
Class B Common	03/14/2013	03/14/2013	F		8,387	D	\$ 68.48
Class B Common	03/14/2013	03/14/2013	S		7,457	D	\$ 68.58 <sup>(2)</sup>
Class B Common					27 <sup>(3)</sup>	I	By son

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)	8. Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Non-Qualified Stock Option (right to buy)	\$ 18.94 <u>(4) (14)</u>	03/14/2013		M	15,844	07/24/2003	04/30/2013	Class B Common	15,844
Non-Qualified Stock Option (right to buy)	\$ 22.49 <u>(4) (5)</u>					05/01/2007	04/30/2014	Class B Common	11,400
Stock Appreciation Right	\$ 28.58 <u>(4) (6)</u>					05/01/2008	04/30/2015	Class B Common	16,400
Stock Appreciation Right	\$ 34.95 <u>(4) (7)</u>					07/27/2006	04/30/2016	Class B Common	4,000
Stock Appreciation Right	\$ 33.76 <u>(4) (8)</u>					05/01/2010	04/30/2017	Class B Common	15,400
Stock Appreciation Right	\$ 35.51 <u>(4) (9)</u>					05/01/2011	04/30/2018	Class B Common	14,000
Stock Appreciation Right	\$ 27.05 <u>(4) (10)</u>					05/01/2012	04/30/2019	Class B Common	23,000
Stock Appreciation Right	\$ 38.43 <u>(4) (11)</u>					05/01/2013	04/30/2020	Class B Common	20,000
Stock Appreciation Right	\$ 46.4 <u>(12)</u>					05/01/2014	04/30/2021	Class B Common	23,000



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- (14) These stock options were previously reported as covering 9,941 shares at an exercise price of \$30.18, but were adjusted to reflect the August 2012 stock split.

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