Van Kirk Richard Lee Jr Form 3 April 29, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB Number: 3235-0104

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Van Kirk Richard Lee Jr			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol PRO DEX INC [PDEX]				
· · /	(First)	(Middle)	04/23/2013	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
34 DEER CRI	EEK			(Chaolr	all annliaghla)			
IRVINE, CA	(Street) AÂ 92604			Director X Officer (give title below	all applicable) 10% (Other /) (specify belo perating Office	w)	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	ive Securiti	es Bei	neficially Owned	
1.Title of Security (Instr. 4)	y		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	*	
Common Stoc	k		5,000 <u>(1)</u>		D	Â		
Reminder: Report owned directly or	-	ate line for ea	ch class of securities benefic	ially SI	EC 1473 (7-02)		
	inform require	ation conta ed to respo	oond to the collection of ined in this form are not nd unless the form displ //B control number.	t				
Tal	ble II - Deri	ivative Secur	ities Beneficially Owned (e	.g., puts, calls,	warrants, opt	ions, co	onvertible securities)	

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)		4. Conversion or Exercise Price of	5. Ownership Form of Derivative	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of	Derivative Security	Security: Direct (D) or Indirect	

January 31,

2005

0.5

Expires:

response...

Estimated average burden hours per

Edgar Filing: Van Kirk Richard Lee Jr - Form 3

				Shares		(I) (Instr. 5)	
Employee Stock Options (Right to Buy)	(2)	01/06/2016	Common Stock	8,334	\$ 7.65	D	Â
Employee Stock Options (Right to Buy)	(<u>3)</u>	05/18/2017	Common Stock	3,334	\$ 4.38	D	Â
Employee Stock Options (Right to Buy)	(4)	10/07/2020	Common Stock	20,000	\$ 1.97	D	Â
Employee Stock Options (Right to Buy)	(5)	09/12/2021	Common Stock	25,000	\$ 1.8	D	Â
Employee Stock Options (Right to Buy)	(6)	09/11/2022	Common Stock	5,000	\$ 1.73	D	Â

Reporting Owners

Reporting Owner Name / Addr	ess	Relationships						
L O	Director	10% Owner	Officer	Other				
Van Kirk Richard Lee Jr 34 DEER CREEK IRVINE, CA 92604	Â	Â	Chief Operating Officer	Â				
Signatures								
/s/ Richard L. Van Kirk	04/26/2013							

**Signature ofDateReporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted stock subject to annual vesting. The first installment of 1,667 shares will vest on September 11, 2013; the second installment of 1,666 shares will vest on September 11, 2014; and the third and final installment of 1,667 shares will vest on September 11, 2015.
- (2) The option vested in equal quarterly installments of 521 shares over a four year period, with the first installment vesting on April 6, 2006, and the last installment vesting on January 6, 2010.
- (3) The option vested in alternating quarterly installments of 208 and 209 shares over a four year period, with the first installment vesting on August 18, 2007, and the last installment vesting on May 18, 2011.
- (4) The option vests in alternating monthly installments of 556 and 555 shares over a three year period, with the first installment vesting on November 7, 2010, and the last installment vesting on October 7, 2013.
- (5) The option vests in alternating monthly installments of 694 and 695 shares over a three year period, with the first installment vesting on October 12, 2011, and the last installment vesting on September 12, 2014.

The option vests in three annual installments. The first installment of 1,667 shares will vest on September 11, 2013; the second
(6) installment of 1,666 shares will vest on September 11, 2014; and the third and final installment of 1,667 shares will vest on September 11, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.