

STERIS CORP
Form 4
June 03, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Forsythe Suzanne V

(Last) (First) (Middle)
5960 HEISLEY ROAD
(Street)

MENTOR, OH 44060

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
STERIS CORP [STE]

3. Date of Earliest Transaction
(Month/Day/Year)
05/30/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

___ Director ___ 10% Owner
X Officer (give title below) ___ Other (specify below)

V. P. - Human Resources

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
				(A) or (D)	Price		
Common Shares, No Par Value	05/30/2013		F	202 ⁽¹⁾	D \$ 45.87	4,642	D
Common Shares, No Par Value	05/31/2013		F	112 ⁽²⁾	D \$ 45.34	4,530	D
Common Shares, No Par Value	05/31/2013		A	4,000	A \$ 0	8,530 ⁽³⁾	D
Common Shares, No						766	I See Footnote

Par Value

Below. ⁽⁴⁾

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Option to Purchase Common Shares	\$ 45.34	05/31/2013		A	4,000	⁽⁵⁾ 05/31/2023	Common Shares, No Par Value	4,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Forsythe Suzanne V 5960 HEISLEY ROAD MENTOR, OH 44060			V. P. - Human Resources	

Signatures

/s/ Dennis P. Patton, Authorized Representative under Power of Attorney

06/03/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 202 shares were withheld from the 562 restricted shares that vested on May 30, 2013. These 202 shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 562 shares were awarded to Ms. Forsythe on May 30, 2012 as part of an award of 2,250 restricted shares. These vested shares were valued at the NYSE closing market price on May 30, 2013.

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- 112 shares were withheld from the 350 restricted shares that vested on May 31, 2013. These 112 shares represent the value of the taxes required to be withheld pursuant to applicable employment or tax laws, as determined by the Issuer. These 350 shares were awarded to Ms. Forsythe on May 31, 2011 as part of an award of 700 restricted shares. These vested shares were valued at the NYSE closing market price on May 31, 2013.
- (2)
- 7,613 of these Common Shares are restricted. The restrictions on these Common Shares lapse as follows: 875 on May 20, 2014, 175 on June 2, 2014, 175 on June 1, 2015, 350 on August 12, 2013, 175 on August 11, 2014, 175 on August 11, 2015, 563 on May 30, 2014, 562 on June 1, 2015, 563 on May 31, 2016, 1,000 on June 2, 2014, 1,000 on June 1, 2015, 1,000 on May 31, 2016 and 1,000 on May 31, 2017.
- (3)
- Represents 782.369 units of the STERIS Corporation 401(k) Plan STERIS Stock Fund which equals 766 Common share equivalents as of May 31, 2013.
- (4)
- These options become exercisable as follows: 1,000 on May 31, 2014, 1,000 on May 31, 2015, 1,000 on May 31, 2016 and 1,000 on May 31, 2017.
- (5)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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