Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

INTERNATIONAL BUSINESS MACHINES CORP

Form 4 June 10, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

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Check this box if no longer subject to Section 16.

SECURITIES Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Last)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * Gherson Diane J

2. Issuer Name and Ticker or Trading Symbol

Issuer

5. Relationship of Reporting Person(s) to

INTERNATIONAL BUSINESS

(Check all applicable)

MACHINES CORP [IBM] (First) (Middle) 3. Date of Earliest Transaction

> (Month/Day/Year) 06/08/2013

Director 10% Owner X_ Officer (give title Other (specify below)

Senior Vice President

IBM CORPORATION, ONE NEW ORCHARD ROAD

(Street)

(State)

06/08/2013

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

4,606.1931

X Form filed by One Reporting Person Form filed by More than One Reporting

D

ARMONK, NY 10504

(City) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 2. Transaction Date 2A. Deemed 5. Amount of 7. Nature of 1. Title of 3. 4. Securities Acquired 6. Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned Ownership (D) or Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price Common \$0 06/08/2013 M 355 A 4,187.1931 D Stock

419

A

\$0

Common Stock	06/08/2013	M	468	A	\$ 0	5,074.1931	D
Common Stock	06/08/2013	M	572	A	\$0	5,646.1931	D

Common 06/08/2013 F 166 D 5,480.1931 D Stock

M

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Common Stock	06/08/2013	F	184	D	\$ 205.23	5,296.1931	D
Common Stock	06/08/2013	F	242	D	\$ 205.23	5,054.1931	D
Common Stock	06/08/2013	F	295	D	\$ 205.23	4,759.1931	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exer Expiration D (Month/Day)	ate	7. Title and A Underlying S (Instr. 3 and	Securities	8. Price Derivati Security (Instr. 5)
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Rst. Stock Unit	\$ 0 (1)	06/08/2013		M(2)	572	<u>(1)</u>	<u>(1)</u>	Common Stock	572	\$ 0
Rst. Stock Unit	\$ 0 (1)	06/08/2013		M(2)	468	<u>(1)</u>	<u>(1)</u>	Common Stock	468	\$ 0
Rst. Stock Unit	\$ 0 (1)	06/08/2013		M(2)	419	<u>(1)</u>	<u>(1)</u>	Common Stock	419	\$ 0
Rst. Stock Unit	\$ 0 (1)	06/08/2013		M(2)	355	<u>(1)</u>	<u>(1)</u>	Common Stock	355	\$ 0

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				

Reporting Owners 2

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Gherson Diane J
IBM CORPORATION
ONE NEW ORCHARD ROAD
ARMONK, NY 10504

Senior Vice President

Signatures

M. F. SooHoo on behalf of D. J. Gherson

06/10/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units were payable in cash or the company's common stock upon the lapse of the restrictions on the transaction date shown.
- (2) Release of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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