

Alphatec Holdings, Inc.  
Form 4  
June 25, 2013

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**CROSS LESLIE H**

(Last) (First) (Middle)

**C/O ALPHATEC SPINE, 5818 EL CAMINO REAL**

(Street)

**CARLSBAD, CA 92008**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**Alphatec Holdings, Inc. [ATEC]**

3. Date of Earliest Transaction (Month/Day/Year)  
**06/21/2013**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman and CEO**

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Code V Amount (D) Price		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security	2. Conversion or Exercise	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any	4. Transaction Code	5. Number of Derivative Securities	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
---------------------------------	---------------------------	--------------------------------------	-----------------------------------	---------------------	------------------------------------	--	---

## Edgar Filing: Alphatec Holdings, Inc. - Form 4

(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date Exercisable	Expiration Date	Title	Amount/Number of Shares
				Code	V (A) (D)				
Incentive Stock Option	\$ 2.01	06/21/2013	A	200,000		01/04/2014 <sup>(2)</sup>	01/04/2023	Common Stock	200,000
Incentive Stock Option	\$ 2.05	06/21/2013	A	400,000		09/13/2013 <sup>(4)</sup>	03/13/2022	Common Stock	400,000
Incentive Stock option	\$ 1.72					01/04/2014 <sup>(2)</sup>	01/04/2023	Common Stock	200,000
NQ Stock Option	\$ 2.62					03/25/2012 <sup>(1)</sup>	03/25/2021	Common Stock	25,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
CROSS LESLIE H C/O ALPHATEC SPINE 5818 EL CAMINO REAL CARLSBAD, CA 92008	X		Chairman and CEO	

## Signatures

/s/ Eburn S. Garner, Esq.,  
Attorney-in-fact

06/25/2013

\*\*Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The shares vest annually over three years.

(2) 25% vest on January 4, 2014, and the remaining 75% vest in 12 equal tranches every three months thereafter.

(3) Exercise price per share.

(4) 31.25% of the options were eligible to vest on the date of grant. An additional 6.25% become eligible to vest on September 13, 2013 and every three months thereafter. In addition, in order to vest, two criteria must be met on a vesting date: (i) Mr. Cross must be Chairman or CEO; and (ii) the achievement of certain stock performance metrics.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.