

Colfax CORP  
Form 4  
May 16, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
BDT CAPITAL PARTNERS, LLC

(Last) (First) (Middle)

401 NORTH MICHIGAN AVENUE, SUITE 3100

(Street)

CHICAGO, IL 60611

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
Colfax CORP [CFX]

3. Date of Earliest Transaction (Month/Day/Year)  
05/14/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |            |       |                    |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|------------|-------|--------------------|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price                             |            |       |                    |
| Common Stock                    | 05/14/2014                           |  | A                              |   | 687   | A  | \$ 0                              | 7,071      | I     | See footnote 1 (1) |
| Common Stock                    |                                      |  |                                |   |   |  |                                   | 16,253,576 | (2) I | See remarks below  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|
| Director Stock Option (right to buy)       | \$ 73.62   | 05/14/2014                           |  | A                              | 1,717   | 05/14/2014 05/13/2021                                    | Common Stock, par value \$.001                                | 1,717                         |
| Director Stock Option (right to buy)       | \$ 48.29   |                                      |  |                                |   | 05/16/2013 05/15/2020                                    | Common Stock, par value \$.001                                | 2,070                         |
| Director Stock Option (right to buy)       | \$ 28.92   |                                      |  |                                |   | 05/16/2012 05/15/2019                                    | Common Stock, par value \$.001                                | 3,458                         |

## Reporting Owners

| Reporting Owner Name / Address  | Relationships |           |         |       |
|---|---------------|-----------|---------|-------|
|   | Director      | 10% Owner | Officer | Other |
| BDT CAPITAL PARTNERS, LLC<br>401 NORTH MICHIGAN AVENUE<br>SUITE 3100<br>CHICAGO, IL 60611       | X             | X         |         |       |
| BDTCP GP I, LLC<br>401 NORTH MICHIGAN AVENUE<br>SUITE 3100<br>CHICAGO, IL 60611                 | X             | X         |         |       |
| BDT CF ACQUISITION VEHICLE, LLC<br>401 NORTH MICHIGAN AVENUE<br>SUITE 3100<br>CHICAGO, IL 60611 | X             | X         |         |       |

BDTP GP, LLC  
 401 NORTH MICHIGAN AVENUE  
 SUITE 300  
 CHICAGO, IL 60611

X            X

Trott Byron D  
 401 NORTH MICHIGAN AVENUE  
 SUITE 300  
 CHICAGO, IL 60611

X            X

## Signatures

/s/ Byron D. Trott (on behalf of himself and each other reporting person hereunder)

05/16/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).  
 The transactions reported on this Form 4 were the grant of restricted stock units and stock options to San W. Orr, III in connection with his service on the Board of Directors of Colfax Corp. (the "Issuer"). Prior grants of restricted stock units and stock options to Mr. Orr in connection with his service on the Issuer's Board of Directors are also reflected on this Form 4. Mr. Orr is a Partner and the Chief Operating Officer of BDT CP. Mr. Orr was designated for election to the Issuer's Board of Directors pursuant to the Issuer's Amended and Restated Certificate of Incorporation, which provides the Investor the right, among other things, to exclusively nominate for election to the Board of Directors 1 of 11 directors based on the current beneficial ownership of the Investor, the BDT Investment Funds and the BDT Investment Vehicle. As a result, each of the Reporting Persons are (or may be deemed to be) directors by deputization.
- (1)
- (2) Does not include 167,626 shares of Common Stock owned by an employee investment vehicle, as described below in the Remarks.

### Remarks:

This Form 4 is jointly filed by (i) BDT Capital Partners, LLC ("BDT CP"), (ii) BDTCP GP I, LLC ("BDTCP GP I"), (iii) BDT

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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