WAGNER WILLIAM J

Form 4 May 16, 2018

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Ad WAGNER W	Symbol	2. Issuer Name <b>and</b> Ticker or Trading Symbol Northwest Bancshares, Inc. [NWBI]					5. Relationship of Reporting Person(s) to Issuer				
(Last)	(First) (Mic	ddle) 3. Date of	Earliest Tra	nsaction			(Check all applicable)				
100 LIBERT	(Month/D	3. Date of Earliest Transaction (Month/Day/Year) 05/14/2018				_X_ Director 10% Owner Symbol Owner Officer (give title Other (specify below) below) Chairman & CEO					
WADDEN F	(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)					A	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting				
WARREN, P	A 10303					P	Person				
(City)	(State) (Zi	Table Table	I - Non-De	erivative Se	curiti	es Acqui	red, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)  Northwest Bancshares, Inc. Common Stock	2. Transaction Date (Month/Day/Year)  05/14/2018		Code		sposed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Northwest Bancshares, Inc. Common Stock							170,991.61	I	Wife		
Northwest Bancshares,							4,762	I	IRA		

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	- 3	9				
Inc. Common Stock						
Northwest Bancshares, Inc. Common Stock				5,840	I	Wife's IRA
Northwest Bancshares, Inc. Common Stock				3,406.92	I	Trust For Son
Northwest Bancshares, Inc. Common Stock				260,655.8 <u>(3)</u>	I	401-K

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of tiorDerivative Securities ) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options (Right to	\$ 16.59	05/14/2018		A	24,000		05/14/2018	05/14/2028	Common Stock	24,000

# **Reporting Owners**

Reporting Owner Name / Address

Buy)

Relationships

Reporting Owners 2

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Director 10% Owner Officer Other

WAGNER WILLIAM J 100 LIBERTY STREET WARREN, PA 16365

Chairman & CEO

### **Signatures**

William J. 05/16/2018 Wagner

\*\*Signature of Reporting Person

### **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

X

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One seventh of this grant of RRP shares will vest each year beginning May 14, 2018 and on each May 14th thereafter through May 14, 2024
- One seventh of this grant of stock options will vest each year beginning May 14, 2018 and on each May 14th thereafter through May 14, 2024.
- (3) Reflects transactions not required to be reported pursuant to Section 16 of the Securities Exchange Act of 1934, as amended.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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