

CONNS INC
Form 4
December 30, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEPHENS WARREN A

(Last) (First) (Middle)
111 CENTER STREET
(Street)
LITTLE ROCK, AR 72201

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
CONNS INC [CONN]

3. Date of Earliest Transaction (Month/Day/Year)
10/31/2013

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing (Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| Common Stock | 10/31/2013 | | Z ⁽¹⁾ | V 82,430 A \$ 0 | 82,430 | I | By Stephens Inc. |
| Common Stock | 10/31/2013 | | Z ⁽¹⁾ | V 206,116 A \$ 0 | 206,116 | I | By WAS Conns Annuity Trust One |
| Common Stock | 10/31/2013 | | Z ⁽¹⁾ | V 171,176 A \$ 0 | 323,673 | I | By Stephens Investments Holdings LLC |
| Common Stock | 10/31/2013 | | Z ⁽¹⁾ | V 100,350 A \$ 0 | 100,350 | I | Warren A. Stephens |

Edgar Filing: CONNS INC - Form 4

| | | | | | | | | | |
|--------------|------------|------------------------|---|-----------|---|------|-----------|---|---|
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 652,617 | A | \$ 0 | 652,617 | I | Grantors Trust Harriet C. Stephens Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 841,038 | A | \$ 0 | 841,038 | I | Warren and Harriet Stephens Childrens Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 56,633 | A | \$ 0 | 56,633 | I | By Warren Miles Amerine Stephens 1995 Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 6,352 | A | \$ 0 | 6,352 | I | By Warren Miles Amerine Stephens Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 56,633 | A | \$ 0 | 56,633 | I | By John Calhoun Stephens 1995 Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 6,352 | A | \$ 0 | 6,352 | I | By John Calhoun Stephens Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 56,633 | A | \$ 0 | 56,633 | I | By Laura Whitaker Stephens 1995 Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 6,352 | A | \$ 0 | 6,352 | I | By Laura Whitaker Stephens Trust |
| Common Stock | 10/31/2013 | <u>Z⁽¹⁾</u> | V | 1,877,623 | A | \$ 0 | 1,878,222 | I | By Warren A. Stephens Trust |
| Common Stock | 12/18/2013 | G | V | 3,975 | D | \$ 0 | 1,874,247 | I | By Warren A. Stephens Trust |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not

SEC 1474
(9-02)

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------|-------|
| | Director | 10% Owner | Officer | Other |
| STEPHENS WARREN A 111 CENTER STREET LITTLE ROCK, AR 72201 | | X | | |

Signatures

Todd Ferguson, attorney in fact for reporting person
 12/30/2013
 **Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents withdrawal from voting trust of shares previously reported as indirectly owned by voting trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.