

NOMURA HOLDINGS INC  
Form 6-K  
August 08, 2012  
Table of Contents

## **FORM 6-K**

### **U.S. SECURITIES AND EXCHANGE COMMISSION**

**Washington, D.C. 20549**

**Report of Foreign Private Issuer**

**Pursuant to Rule 13a-16 or 15d-16 of  
the Securities Exchange Act of 1934**

**Commission File Number: 1-15270**

**For the month of August 2012**

## **NOMURA HOLDINGS, INC.**

**(Translation of registrant's name into English)**

**9-1, Nihonbashi 1-chome**

**Chuo-ku, Tokyo 103-8645**

**Japan**

**(Address of principal executive offices)**

Indicate by check mark whether the registrant files or will file annual reports under cover Form 20-F or Form 40-F.

Form 20-F   X        Form 40-F       

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1):

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7):

**Table of Contents**

Information furnished on this form:

**EXHIBIT**

Exhibit Number

1. Statement on Submission of Business Improvement Report to Japan's Financial Services Agency

**Table of Contents**

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**NOMURA HOLDINGS, INC.**

Date: August 8, 2012

By: /s/ Eiji Miura  
Eiji Miura  
Senior Managing Director

**Table of Contents**

**Statement on Submission of Business Improvement Report to**

**Japan's Financial Services Agency**

**Tokyo, August 8, 2012** Nomura Securities Co., Ltd. today submitted a report on its business improvement measures to Japan's Financial Services Agency in accordance with the business improvement order issued on August 3, 2012.

We take this matter very seriously and we apologize to our clients and all other concerned parties for the trouble that has been caused. We have set out a series of improvement measures and the entire firm is working to prevent similar incidents occurring in the future. As announced on July 26, we plan to complete implementation of most of the measures by the end of August, excluding those that require computer or other technical developments.

In addition, we have established an Improvement Measures Implementation Committee to monitor the implementation of the improvement measures. This committee reports directly to the President of Nomura Securities and includes the company's Internal Control Supervisory Manager and relevant executive officers and department heads.

We will continue to further enhance our internal controls while working to prevent similar incidents and regain the trust of the public.

ends

**For further information please contact:**

<b>Name</b>	<b>Company</b>	<b>Telephone</b>
Joey Wu	Nomura Holdings, Inc.	81-3-3278-0591
Keiko Sugai	Group Corporate Communications Dept.	
Nomura		

Nomura is a leading financial services group and the preeminent Asian-based investment bank with worldwide reach. Nomura provides a broad range of innovative solutions tailored to the specific requirements of individual, institutional, corporate and government clients through an international network in over 30 countries. Based in Tokyo and with regional headquarters in Hong Kong, London, and New York, Nomura employs over 27,000 staff worldwide. Nomura's unique understanding of Asia enables the company to make a difference for clients through three business divisions: retail, asset management, and wholesale (fixed income, equities, and investment banking). For further information about Nomura, please visit [www.nomura.com](http://www.nomura.com).