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PRUDENTIAL FINANCIAL INC Form 8-K January 13, 2014

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the

Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): January 13, 2014

THE PRUDENTIAL EMPLOYEE SAVINGS PLAN

(Exact name of registrant as specified in its charter)

New Jersey (State or other jurisdiction

001-16707 (Commission

22-3703799 (I.R.S. Employer

of incorporation)

File Number)
751 Broad Street

Identification No.)

Edgar Filing: PRUDENTIAL FINANCIAL INC - Form 8-K Newark, New Jersey 07102

(Address of principal executive offices and zip code)

(973) 802-6000

(Registrant s telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- " Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 4.01 Changes in Registrant s Certifying Accountant.

Effective January 13, 2014, The Prudential Employee Savings Plan (the Plan) engaged SB & Company, LLC as its new independent registered public accounting firm. The decision to engage SB & Company, LLC was previously approved by the Administrative Committee of the Plan.

During the two fiscal years ended December 31, 2013 and through January 13, 2014, the Plan has not consulted with SB & Company, LLC regarding either: (i) the application of accounting principles to a specified transaction, either completed or proposed, or the type of audit opinion that might be rendered on the Plan s financial statements; or (ii) any matter that was either the subject of a disagreement, as that term is defined in Item 304(a)(1)(iv) of Regulation S-K and the related instructions to Item 304 of Regulation S-K, or a reportable event, as that term is described in Item 304(a)(1)(v) of Regulation S-K.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: January 13, 2014

THE PRUDENTIAL EMPLOYEE SAVINGS PLAN

By: /s/ Kevin Prue Name: Kevin Prue

Title: Chairperson of the Administrative

Committee of the Plan