NanoString Technologies Inc Form SC 13D/A January 11, 2019

## **UNITED STATES**

## SECURITIES AND EXCHANGE COMMISSION

## Washington, D.C. 20549

## **SCHEDULE 13D**

**Under the Securities Exchange Act of 1934** 

(Amendment No. 6)\*

NanoString Technologies, Inc.

(Name of Issuer)

Common Stock, \$0.0001 par value

(Title of Class of Securities)

63009R109

(CUSIP Number)

**Robert Liptak** 

**Clarus Ventures, LLC** 

101 Main Street, Suite 1210

Cambridge, MA 02142

(617) 949-2200

(Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)

## January 4, 2019

## (Date of Event which Requires Filing of this Statement)

If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of this Schedule 13D, and is filing this schedule because of §§240.13d-1(e), 240.13d-1(f) or 240.13d-1(g), check the following box.

**Note:** Schedules filed in paper format shall include a signed original and five copies of the schedule, including all exhibits. See §240.13d-7 for other parties to whom copies are to be sent.

\* The remainder of this cover page shall be filled out for a reporting person s initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter disclosures provided in a prior cover page.

The information required on the remainder of this cover page shall not be deemed to be filed for the purpose of Section 18 of the Securities Exchange Act of 1934 (Act) or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

## CUSIP No. 63009R109 13D Page 2 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). 2 Clarus Lifesciences II, L.P. 2 Clarus Lifesciences II, L.P. (a) (b)

4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

WC

3 SEC USE ONLY

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

 $\mathbf{PN}$ 

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 1
 NAMES OF REPORTING PERSONS.

 I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

 2
 Clarus Ventures II GP, L.P.

 2
 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

 (a)
 (b)

- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY OWNED BY		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON WITH		
WIIH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

PN

## CUSIP No. 63009R10913DPage 4 of 23 Pages1NAMES OF REPORTING PERSONS.1.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).Clarus Ventures II, LLC

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF	7	SOLE VOTING POWER
SHARES BENEFICIALLY OWNED BY		
EACH REPORTING	8	0 shares SHARED VOTING POWER
PERSON WITH		

0 shares9 SOLE DISPOSITIVE POWER

0 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.0%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

00

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 1
 NAMES OF REPORTING PERSONS.

 1.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

 2
 Blackstone Clarus II L.L.C.

 (a)
 (b)

 3
 SEC USE ONLY

4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

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 1
 NAMES OF REPORTING PERSONS.

 I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

 Blackstone Holdings II L.P.

 2

 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

 (a)

 (b)

- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY		
OWNED BY		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

 $\mathbf{PN}$ 

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 1
 NAMES OF REPORTING PERSONS.
 I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

 2
 Blackstone Holdings I/II GP Inc.
 State Appropriate Box IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)

 (a)
 (b)

- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY OWNED BY		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

CO

## CUSIP No. 63009R109 13D Page 8 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). 2 The Blackstone Group L.P. 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) (a) (b)

- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY		
OWNED BY EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

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## CUSIP No. 63009R109 13D Page 9 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). Blackstone Group Management L.L.C. 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) (a) (b)

- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)

## 6 CITIZENSHIP OR PLACE OF ORGANIZATION

Delaware NUMBER OF SHARES	7	SOLE VOTING POWER
BENEFICIALLY		
OWNED BY EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

00

# CUSIP No. 63009R109 13D Page 10 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). 2 Stephen A. Schwarzman 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS) (a) (b) 3 SEC USE ONLY

4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
OWNED BY		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

4,036,025 shares9 SOLE DISPOSITIVE POWER

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

4,036,025 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

13.09%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

## CUSIP No. 63009R10913DPage 11 of 23 Pages1NAMES OF REPORTING PERSONS.I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

Robert Liptak

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		
****		

0 shares9 SOLE DISPOSITIVE POWER

0 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.0%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

## CUSIP No. 63009R109 13D Page 12 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). Nicholas Simon

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

0 shares9 SOLE DISPOSITIVE POWER

0 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.0%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

## CUSIP No. 63009R109 13D Page 13 of 23 Pages 1 NAMES OF REPORTING PERSONS. I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY). Nicholas Galakatos

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		48,530 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

0 shares9 SOLE DISPOSITIVE POWER

48,530 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

48,530 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.1%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

## CUSIP No. 63009R10913DPage 14 of 23 Pages1NAMES OF REPORTING PERSONS.I.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

Dennis Henner

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		

0 shares9 SOLE DISPOSITIVE POWER

0 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.0%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

## CUSIP No. 63009R10913DPage 15 of 23 Pages1NAMES OF REPORTING PERSONS.1.R.S. IDENTIFICATION NOS. OF ABOVE PERSONS (ENTITIES ONLY).

Kurt Wheeler

- 2 CHECK THE APPROPRIATE BOX IF A MEMBER OF A GROUP (SEE INSTRUCTIONS)
  - (a) (b)
- 3 SEC USE ONLY
- 4 SOURCE OF FUNDS (SEE INSTRUCTIONS)

AF

- 5 CHECK IF DISCLOSURE OF LEGAL PROCEEDINGS IS REQUIRED PURSUANT TO ITEMS 2(d) or 2(e)
- 6 CITIZENSHIP OR PLACE OF ORGANIZATION

United States c	itize	en
NUMBER OF	7	SOLE VOTING POWER
SHARES		
BENEFICIALLY		
<b>OWNED BY</b>		
EACH		0 shares
REPORTING	8	SHARED VOTING POWER
PERSON		
WITH		
****		

0 shares9 SOLE DISPOSITIVE POWER

0 shares

0 shares

11 AGGREGATE AMOUNT BENEFICIALLY OWNED BY EACH REPORTING PERSON

0 shares

- 12 CHECK IF THE AGGREGATE AMOUNT IN ROW (11) EXCLUDES CERTAIN SHARES (SEE INSTRUCTIONS)
- 13 PERCENT OF CLASS REPRESENTED BY AMOUNT IN ROW (11)

0.0%

14 TYPE OF REPORTING PERSON (SEE INSTRUCTIONS)

IN

CUSIP No. 63009R109

13D

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Schedule 13D

Item 1. Security and Issuer.

This Amendment No. 6 (the Amendment No. 6 ) to Schedule 13D supplements and amends the statement on Schedule 13D of Clarus Lifesciences II, L.P., Clarus Ventures II GP, L.P., Clarus Ventures II, LLC, Robert Liptak, Nicholas Simon, Nicholas Galakatos, Dennis Henner, Kurt Wheeler, Michael Steinmetz and Finny Kuruvilla originally filed on July 11, 2013 (as so amended, the Schedule 13D ), Amendment No. 1 thereto filed on February 13, 2014 ( Amendment No. 1 ), Amendment No. 2 thereto filed on February 16, 2016 ( Amendment No. 2 ), Amendment No. 3 thereto filed on February 13, 2017 ( Amendment No. 3 ), Amendment No. 4 thereto filed on June 7, 2017 ( Amendment No. 4 ) and Amendment No. 5 thereto filed July 25, 2018 ( Amendment No. 5 ) relating to the shares of common stock, \$0.0001 par value per share (the Shares ), of NanoString Technologies, Inc., a Delaware corporation (the Issuer ), having its principal executive offices located at 530 Fairview Avenue N, Suite 2000, Seattle, WA 98109.

This Amendment No. 6 is being filed in connection with the acquisition by The Blackstone Group L.P. and certain of its affiliates (Blackstone) of Clarus Ventures, LLC and certain of its affiliates (collectively, Clarus). On January 4, 2019, Blackstone integrated the Clarus business into Blackstone (the Clarus Integration). As a result of such integration, Blackstone may be deemed to have become the beneficial owner of the securities beneficially owned by Clarus, including the securities of the Issuer.

Certain terms used but not defined in this Amendment No. 6 have the meanings assigned thereto in the Schedule 13D. Except as specifically provided herein, this Amendment No. 6 does not modify any of the information previously reported on the Schedule 13D (including the amendments thereto).

Item 2. Identity and Background.

This statement is being filed by:

(a) Clarus Lifesciences II, L.P. (the Fund );

(b) Clarus Ventures II GP, L.P. ( Clarus GP ), which is the sole general partner of the Fund;

(c) Blackstone Clarus II L.L.C., which is the sole general partner of Clarus GP, Blackstone Holdings II L.P., which is the sole member of Blackstone Clarus II L.L.C., Blackstone Holdings I/II GP Inc., which is the sole general partner of Blackstone Holdings II L.P., The Blackstone Group L.P., which is the controlling shareholder of Blackstone Holdings I/II GP Inc., and Blackstone Group Management L.L.C. (collectively, with Blackstone Clarus II L.L.C., Blackstone Holdings II L.P., Blackstone Holdings I/II GP Inc., and Blackstone Group Management L.L.C. (collectively, with Blackstone Clarus II L.L.C., Blackstone Holdings II L.P., Blackstone Holdings I/II GP Inc. and The Blackstone Group L.P., the Control Entities ), which is the sole general partner of The Blackstone Group L.P. and which is wholly-owned by Blackstone senior managing directors and controlled by its founder, Stephen A. Schwarzman; and

(d) Clarus Ventures II, LLC ( Clarus GP LLC ) and Robert Liptak, Nicholas Simon, Nicholas Galakatos, Dennis Henner and Kurt Wheeler (together, the Managing Directors , and collectively with Clarus GP LLC, the Clarus Persons ). The Managing Directors are the members of Clarus GP LLC. Clarus GP LLC is the former general partner of Clarus GP.

The persons named in this Item 2 are referred to individually herein as a Reporting Person and collectively as the Reporting Persons. The Reporting Persons have entered into a Joint Filing Agreement, dated as of the date hereof, a

## Edgar Filing: NanoString Technologies Inc - Form SC 13D/A

copy of which is filed with this Schedule 13D as <u>Exhibit 99.1</u> (which is incorporated herein by reference), pursuant to which the Reporting Persons have agreed to file this statement jointly in accordance with the provisions of Rule 13d-1(k) under the Act.

The address of the principal business office of the Fund, Clarus GP and each of the Clarus Persons is Clarus Ventures, 101 Main Street, Suite 1210, Cambridge, MA 02142. The address of the principal business office of each of the Control Entities and Mr. Schwarzman is c/o The Blackstone Group L.P., 345 Park Avenue, New York, NY 10154.

## CUSIP No. 63009R109

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The principal business of the Fund is to invest in and assist early-stage and/or growth-oriented businesses in healthcare and life sciences. The principal business of Clarus GP is to act as the sole general partner of the Fund. The principal business of Clarus GP LLC is to act as a general partner of investment vehicles. The principal business of each of the Managing Directors is serving as an employee of Blackstone or one of its affiliates with similar businesses.

The principal business of Blackstone Clarus II L.L.C. is performing the functions of, and serving as, the general partner of Clarus GP. The principal business of Blackstone Holdings II L.P. is performing the functions of, and serving as, a managing member (or similar position) of and member or equity holder in Blackstone Clarus II L.L.C. and other affiliated Blackstone entities. The principal business of Blackstone Holdings I/II GP Inc. is performing the functions of, and serving as, the general partner (or similar position) of Blackstone Holdings II L.P. and other affiliated Blackstone entities. The principal business of The Blackstone Group L.P. is performing the functions of, and serving as, the controlling shareholder of Blackstone Holdings I/II GP, Inc., and in a similar capacity for other affiliated Blackstone entities. The principal business of Blackstone Group Management L.L.C. is performing the functions of, and serving as, the general partner of The Blackstone Group L.P. The principal occupation of Mr. Schwarzman is serving as an executive of Blackstone Group Management L.L.C.

During the five years prior to the date hereof, none of the Reporting Persons has been convicted in a criminal proceeding or has been a party to a civil proceeding ending in a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities laws or finding any violation with respect to such laws.

The Fund, Clarus GP, Blackstone Holdings II L.P. and The Blackstone Group L.P. are limited partnerships organized under the laws of the State of Delaware. Blackstone Clarus II L.L.C., Blackstone Group Management L.L.C. and Clarus GP LLC are limited liability companies organized under the laws of the State of Delaware. Blackstone Holdings I/II GP Inc. is a corporation organized under the laws of the State of Delaware. Each of Mr. Schwarzman and the Managing Directors is a United States citizen.

### Item 3. Source and Amount of Funds or Other Consideration.

On January 4, 2019, Blackstone integrated the Clarus business into Blackstone. As a result of the Clarus Integration, the Control Entities and Mr. Schwarzman may be deemed to have become indirect beneficial owners of the shares of Common Stock beneficially owned by the Fund (the Clarus Shares ).

## Item 5. Interest in Securities of the Issuer.

(a) The Fund is the record owner of the Clarus Shares. Mr. Galakatos is the record owner of stock options exercisable for 48,530 shares of Common Stock.

Clarus GP is the sole general partner of the Fund. Blackstone Clarus II L.L.C. is the sole general partner of Clarus GP. The sole member of Blackstone Clarus II L.L.C. is Blackstone Holdings II L.P. The sole general partner of Blackstone Holdings I/II GP Inc. The controlling shareholder of Blackstone Holdings I/II GP Inc. is The Blackstone Group L.P. The sole general partner of The Blackstone Group L.P. is Blackstone Group Management L.L.C. is wholly-owned by Blackstone senior managing directors and controlled by its founder, Stephen A. Schwarzman.

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Each such Reporting Person (other than the Clarus Persons) may be deemed to beneficially own the Common Stock beneficially owned by the Fund directly or indirectly controlled by it or him, but neither the filing of this Schedule 13D nor any of its contents shall be deemed to constitute an admission that any Reporting Person (other than a Reporting Person to the extent of its direct ownership) is the beneficial owner of the Common Stock referred to herein for purposes of Section 13(d) of the Securities Exchange Act of 1934, as amended (the Exchange Act ), or for any other purpose, and each of the Reporting Persons expressly disclaims beneficial ownership of such Common Stock.

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The percentage of outstanding Common Stock of the Issuer which may be deemed to be beneficially owned by each Reporting Person is set forth on Line 13 of such Reporting Person s cover sheet and was calculated based on the 30,831,497 shares of Common Stock reported to be outstanding as of November 5, 2018 in the Issuer s Form 10-Q filed with the Securities Exchange Commission on November 8, 2018.

- (b) Regarding the number of shares as to which such person has:
  - (i) sole power to vote or to direct the vote: See line 7 of cover sheets
  - (ii) shared power to vote or to direct the vote: See line 8 of cover sheets
  - (iii) sole power to dispose or to direct the disposition: See line 9 of cover sheets
  - (iv) shared power to dispose or to direct the disposition: See line 10 of cover sheets
- (c) Except as set forth in this Amendment No. 6, none of the Reporting Persons has effected any transaction in the Common Stock during the last 60 days.
- (d) To the best knowledge of the Reporting Persons, no person other than the Reporting Persons, or the partners, members, affiliates or shareholders of the Reporting Persons, has the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, the securities beneficially owned by the Reporting Persons identified in this Item 5.
- (e) As of the date of the Clarus Integration, the Clarus Persons were not the beneficial owners of more than five percent of the outstanding shares of Common Stock.

Item 6. Contracts, Arrangements, Undertakings or Relationships with Respect to Securities of the Issuer.

Pursuant to Rule 13d-1(k) promulgated under the Securities Exchange Act of 1934, as amended, the Reporting Persons have entered into an agreement with respect to the joint filing of this statement, and any amendment or amendments thereto.

Item 7. Material to be Filed as Exhibits.

- Exhibit 99.1 Agreement regarding filing of joint Schedule 13D.
- Exhibit 99.2 Power of Attorney regarding filings under the Act.

## CUSIP No. 63009R109

## 13D

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## **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Date: January 10, 2019

## CLARUS LIFESCIENCES II, L.P.

- By: Clarus Ventures II GP, L.P., its general partner
- By: Blackstone Clarus II L.L.C., its general partner
- By: Blackstone Holdings II L.P., its managing member
- By: Blackstone Holdings I/II GP Inc., its general partner
- By: /s/ John G. Finley
- Name: John G. Finley
- Title: Chief Legal Officer

### CLARUS VENTURES II GP, L.P.

- By: Blackstone Clarus II L.L.C., its general partner
- By: Blackstone Holdings II L.P., its managing member
- By: Blackstone Holdings I/II GP Inc., its general partner
- By: /s/ John G. Finley

Name: John G. Finley

- Title: Chief Legal Officer
- BLACKSTONE CLARUS II L.L.C.
- By: Blackstone Holdings II L.P., its managing member
- By: Blackstone Holdings I/II GP Inc., its general partner

By: /s/ John G. Finley

Name: John G. Finley

Title: Chief Legal Officer

BLACKSTONE HOLDINGS II L.P.

By: Blackstone Holdings I/II GP Inc., its general partner

By: /s/ John G. Finley Name: John G. Finley Title: Chief Legal Officer

## BLACKSTONE HOLDINGS I/II GP INC.

By: /s/ John G. Finley Name: John G. Finley Title: Chief Legal Officer

[NanoString Technologies, Inc. - Schedule 13D/A]

## CUSIP No. 63009R109

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THE BLACKSTONE GROUP L.P. By: Blackstone Group Management L.L.C., its general partner

By: /s/ John G. Finley Name: John G. Finley Title: Chief Legal Officer

BLACKSTONE GROUP MANAGEMENT L.L.C.

By: /s/ John G. Finley Name: John G. Finley Title: Chief Legal Officer

/s/ Stephen A. Schwarzman Stephen A. Schwarzman

## CLARUS VENTURES II, LLC

By:	/s/ Robert Liptak
Name:	Robert Liptak
Title:	Manager

Nicholas Galakatos

Dennis Henner

/s/ Robert Liptak Robert Liptak

\*

\*

\*

Nicholas Simon

\*

Kurt Wheeler

\*By: /s/ Robert Liptak

Robert Liptak, as Attorney-in-Fact

This Amendment No. 6 to Schedule 13D was executed by Robert Liptak on behalf of the individuals listed above pursuant to a Power of Attorney a copy of which is attached as <u>Exhibit 99.2</u>.

[NanoString Technologies, Inc. - Schedule 13D/A]