#### SERVOTRONICS INC /DE/

Form 4 January 05, 2016

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

5. Relationship of Reporting Person(s) to

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

subject to Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SanFilippo Salvatore			Symbol						Issuer					
	SERVO	SERVOTRONICS INC /DE/ [SVT]						(Check all applicable)						
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(coose of product)						
			(Month/D	ay/Year	)				Director	10%	6 Owner			
1110 MAPLE STREET, PO BOX			01/01/2016						_X_ Officer (give title Other (specify					
300								below) below) Senior Vice President						
	4. If Amendment, Date Original						6. Individual or Joint/Group Filing(Check							
	Filed(Mon	Filed(Month/Day/Year)						Applicable Line)						
										_X_ Form filed by One Reporting Person Form filed by More than One Reporting				
ELMA, NY							Person							
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										lly Owned				
1.Title of	2. Transaction D	ate 2A. Dec	emed	3. 4. Securities Acquired			cquired	5. Amount of	6. Ownership	7. Nature of				
Security	(Month/Day/Yea	r) Executi	Execution Date, if any		Transaction(A) or Disposed of Code (D)				Securities	Form: Direct	Indirect			
(Instr. 3)		-			0)	(D)			Beneficially (D) or Benef Owned Indirect (I) Owne					
		(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)			3)	Owned Following	Ownership (Instr. 4)							
					Reported	(Instr. 4)	(IIIStr. 1)							
							(A)		Transaction(s)					
				Code	V	Amount	(D)	Price	(Instr. 3 and 4)					
~							(-)	\$						
Common	01/01/2016			F		1,268	D	8.35	10,202 (2)	D				
Stock						,		(1)	<i>'</i>					
C														
Common									6,242.8561	I	By ESOT			
Stock														

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title o	of 2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	le and	8. Price of	9. Nu
Derivativ	ve Conversion	(Month/Day/Year)	Execution Date, if	Transaction	orNumber	Expiration D	ate	Amou	ınt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr.	. 3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration	T:41-	or Namel		
				Code V		Exercisable D	Date		Number		
					(A) (D)				of Shares		
				Code V	(A) (I))				Snares		

# **Reporting Owners**

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

SanFilippo Salvatore 1110 MAPLE STREET, PO BOX 300 ELMA, NY 14059

Senior Vice President

## **Signatures**

Reporting Person

Salvatore

SanFilippo 01/05/2016 \*\*Signature of Date

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- On January 1, 2016, 3,000 restricted shares vested and the Reporting Person instructed the Company to withhold 1,268 shares to cover tax withholding obligations as permitted under the Company's 2012 Long-Term Incentive Plan.
- As of the date hereof, the Reporting Person's direct beneficial ownership includes 3,000 restricted shares granted under the Company's 2012 Long-Term Incentive Plan that have not yet vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2