### Edgar Filing: VALMONT INDUSTRIES INC - Form 3

### VALMONT INDUSTRIES INC Form 3 October 04, 2016 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 3235-0

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

## OMB 3235-0104 Number: January 31, Expires: 2005

Expires. 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Kaniewski Stephen G			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol VALMONT INDUSTRIES INC [VMI]				
(Last)	(First)	(Middle)	10/01/2016	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)	
VALMONT	INDUST	RIES,						
INC., ONI	E VALMO	NT		(Check	all applicable)			
PLAZA				D	100	~		
(Street) OMAHA, NE 68154				Director 10% Owner XOfficerOther (give title below) (specify below) Chief Operating Officer			6. Individual or Joint/Group	
							Filing(Check Applicable Line) _X_ Form filed by One Reporting Person	
	1 1211 0010						Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	Non-Derivat	tive Securiti	es Be	neficially Owned	
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1	
Common St	ock		3,345		D	Â		
Reminder: Rep owned directly	or indirectly. Perso inform requir	ns who resp nation conta ed to respo	ch class of securities benefic bond to the collection of nined in this form are not nd unless the form displ MB control number.	t s	EC 1473 (7-02	))		
1	able II - Der	ivative Secur	rities Beneficially Owned (e	.g., puts, calls	, warrants, opt	tions, c	onvertible securities)	

### 1. Title of Derivative Security 3. Title and Amount of 4. 6. Nature of Indirect 2. Date Exercisable and 5. (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial (Month/Day/Year) Derivative Security or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5) Derivative Security:

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (Right to buy)	(1)	12/17/2019	Common Stock	3,065	\$ 136.42	D	Â
Non-Qualified Stock Option (Right to buy)	(2)	12/09/2020	Common Stock	2,869	\$ 145.25	D	Â
Non-Qualified Stock Option (Right to buy)	( <u>3)</u>	12/08/2021	Common Stock	1,823	\$ 132.84	D	Â
Non-Qualified Stock Option (Right to buy)	(4)	12/16/2022	Common Stock	14,645	\$ 104.47	D	Â

# **Reporting Owners**

Reporting Owner Name / Address	Relationships				
<b>1</b>	Director	or 10% Owner Officer		Other	
Kaniewski Stephen G VALMONT INDUSTRIES, INC. ONE VALMONT PLAZA OMAHA, NE 68154	Â	Â	Chief Operating Officer	Â	
Signatures					

R. Andrew Massey For: Stephen G.	
Kaniweski	

\*\*Signature of Reporting Person

Date

10/03/2016

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Options vested in three equal installments on December 17, 2013, December 17, 2014 and December 17, 2015
- (2) Options vests in three equal installments on December 09, 2014, December 09, 2015 and December 09, 2016.
- (3) Options vested in three equal installments on December 08, 2015, December 08, 2016 and December 08, 2017.
- (4) Options vests in three equal installments on December 16, 2016, December 16, 2017 and December 16, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.