Edgar Filing: RESEARCH FRONTIERS INC - Form 4

RESEARCH Form 4 January 02, 2	FRONTIERS INC	C					
Check this if no longe subject to Section 16 Form 4 or Form 5 obligation may contin <i>See</i> Instruct 1(b).	4 UNITED S s box er STATEM 5. Filed pursu s nue. Section 17(a)	Wa ENT OF CHA uant to Section) of the Public U	RITIES AND EXCHANGE ashington, D.C. 20549 NGES IN BENEFICIAL OV SECURITIES 16(a) of the Securities Exchan Jtility Holding Company Act of 19	VNERSHIP OF age Act of 1934, of 1935 or Section	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type R	esponses)						
1. Name and Address of Reporting Person <u>*</u> HARARY JOSEPH M		Symbol	er Name and Ticker or Trading ARCH FRONTIERS INC 2]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) (Mi		of Earliest Transaction Day/Year) 2013	X Director X Officer (give below) Pres:			
	(Street)		nendment, Date Original onth/Day/Year)	6. Individual or Jo Applicable Line) _X_ Form filed by O Form filed by M Person	One Reporting Pe	erson	
(City)	(State) (Z	Zip) Ta	ble I - Non-Derivative Securities A	cquired, Disposed of	, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, i any (Month/Day/Yea	Code Disposed of (D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				399,944	D		
Common Stock				97,560	I	Owned by Mr. Harary's children	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and	Securities
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option (right to buy)	\$ 5.56	12/31/2013		А	119,400	12/31/2013	12/30/2023	Common Stock	119,40

Reporting Owners

Reporting Owner Name / Address	Relationships					
L O	Director	10% Owner	Officer	Other		
HARARY JOSEPH M						
	Х		President and CEO			

Signatures

/s/ Joseph M. Harary	01/02/2014		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.