RESEARCH FRONTIERS INC

Form 4

January 06, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average

burden hours per

OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

may continue. See Instruction

obligations

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Daigle Darryl

2. Issuer Name and Ticker or Trading

Symbol RESEARCH FRONTIERS INC 5. Relationship of Reporting Person(s) to

Issuer

below)

[REFR]

(Check all applicable)

(Last) (Middle) 3213 WYTCHWOOD DRIVE

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

_X__ Director 10% Owner Other (specify Officer (give title

12/31/2013

(Street)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MORGAN CITY, LA 70380

(City)	(State)	(Zip) Tak	ole I - Non-	-Derivativ	e Secu	ırities A	cquired, Dispose	ed of, or Bene	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	(A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/31/2013		P	1,500	A	\$ 5.69	305,217	D	
Common Stock							64,245	I	Held by reporting person's business, of which he has 50% ownership interest
Common Stock							12,362	I	Held in IRA by reporting

Edgar Filing: RESEARCH FRONTIERS INC - Form 4

person's wife.

Held as custodian for reporting

person's grandchildren

Common 738 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Date

Exercisable

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2.
Derivative Conversion
Security or Exercise
(Instr. 3) Price of
Derivative
Security

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date 25

Execution Date, if any (Month/Day/Year)

TransactionDerivative
Code Securities
(Instr. 8) Acquired (A)
or Disposed of

6. Date Exercisable and Expiration Date (Month/Day/Year)

Ι

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

or Disposed (D) (Instr. 3, 4, and 5)

5. Number of

Code V (A) (D)

Expiration Date

Amount or Title

Number of Shares

Stock

Option (right to buy)

12/31/2013

A 23,900

12/31/2013 12/30/2023

Common Stock

23,900

Reporting Owners

\$ 5.56

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Daigle Darryl

3213 WYTCHWOOD DRIVE X MORGAN CITY, LA 70380

Signatures

/s/ Darryl Daigle 01

01/06/2014

**Signature of Reporting Person Date

Reporting Owners 2

Edgar Filing: RESEARCH FRONTIERS INC - Form 4

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.