Sofia John S Form 3 October 12, 2004

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement AMERICAN AXLE & MANUFACTURING Sofia John S (Month/Day/Year) HOLDINGS INC [AXL] 10/01/2004 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) ONE DAUCH DRIVE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) Director 10% Owner

DETROIT, MIÂ 48211-1198

ZX\_Officer Other (give title below) (specify below)
VP, Quality

ZX\_Form filed by One Reporting Person
Form filed by More than One

Reporting Person

(City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned

1.Title of Security
2. Amount of Securities
3. 4. Nature of Indirect Beneficial
Ownership
(Instr. 4)
Form:
Direct (D)
or Indirect
(I)

(I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security |                                  | 4. Conversion or Exercise          | 5.<br>Ownership<br>Form of                                  | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--------------------|--|----------------------------------|------------------------------------|---|---|
|  | Date<br>Exercisable                                      | Expiration<br>Date | (Instr. 4) Title   | Amount or<br>Number of<br>Shares | Price of<br>Derivative<br>Security | Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I) |   |

|                                      |     |            |                 |        |          | (Instr. 5) |   |
|--------------------------------------|-----|------------|-----------------|--------|----------|------------|---|
| Employee Stock Option (right to buy) | (1) | 10/28/2009 | Common<br>Stock | 32,557 | \$ 4.26  | D          | Â |
| Employee Stock Option (right to buy) | (1) | 05/19/2010 | Common<br>Stock | 10,000 | \$ 15.32 | D          | Â |
| Employee Stock Option (right to buy) | (1) | 04/02/2011 | Common<br>Stock | 7,000  | \$ 8.85  | D          | Â |
| Employee Stock Option (right to buy) | (2) | 01/23/2012 | Common<br>Stock | 10,000 | \$ 24.15 | D          | Â |
| Employee Stock Option (right to buy) | (3) | 01/22/2013 | Common<br>Stock | 10,000 | \$ 23.73 | D          | Â |
| Employee Stock Option (right to buy) | (4) | 02/02/2014 | Common<br>Stock | 9,000  | \$ 38.7  | D          | Â |

## **Reporting Owners**

| Reporting Owner Name / Address                              | Relationships |           |             |      |  |  |
|---|---------------|-----------|-------------|------|--|--|
| .r  | Director      | 10% Owner | Officer     | Othe |  |  |
| Sofia John S<br>ONE DAUCH DRIVE<br>DETROIT Â MIÂ 48211-1198 | Â             | Â         | VP, Quality | Â    |  |  |

### **Signatures**

/s/Richard G.
Raymond

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All options related to this grant are currently vested.
- (2) Options related to this grant vest in three annual installments beginning January 23, 2003.
- (3) Options related to this grant vest in three annual installments beginning January 22, 2004.
- (4) Options related to this grant vest in three annual installments beginning February 2, 2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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