#### MCDERMOTT CHARLES J

Form 4 July 15, 2011

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

**OMB APPROVAL** 

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

See Instruction 1(b).

per share

(Print or Type Responses)

1. Name and Address of Reporting Person * ELLIS ALEXANDER III			2. Issuer Name and Ticker or Trading Symbol CLEAN DIESEL TECHNOLOGIES INC [CDTI]				5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
PARTNERS	(First) (M PORT CAPITAL S, LP, 160 FEDER BTH FLOOR		3. Date of Earliest Transaction (Month/Day/Year) 07/05/2011				X Director 10% Owner Officer (give titleX Other (specify below)				
BOSTON, N	(Street)  AA 02110		4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed o	of, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Execution any				of (D)	5. Amount of Securities Form: Direct Indirect Beneficially (D) or Beneficially Owned Indirect (I) Owner Following (Instr. 4) (Instr. Reported Transaction(s)				
Common Stock, par value \$0.01 per share	07/05/2011			Code V	Amount 72,800 (1)	or (D)	Price \$ 3.75	(Instr. 3 and 4) 329,895	D (2)		
Common Stock, par value \$0.01	07/05/2011			S	7,200 (1)	D	\$ 3.75	322,695	D (3)		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

> 9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exer	cisable and	7. Tit.	le and	8. Price of
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration D	ate	Amou	ınt of	Derivative
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	rlying	Security
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)
	Derivative				Securities	1		(Instr.	. 3 and 4)	
	Security				Acquired					
					(A) or					
					Disposed					
					of (D)					
					(Instr. 3,					
					4, and 5)					
									Amount	
						Date	Expiration	Title	or Number	
						Exercisable	Date	Title	of	
				Code V	(A) (D)				Shares	
				Code v	(A) (D)				Shares	

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
ELLIS ALEXANDER III C/O ROCKPORT CAPITAL PARTNERS, LP 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110	X			Member of 13(d) group				
RockPort Capital Partners, L.P. 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110				Member of 13(d) group				
ROCKPORT CAPITAL I LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110				Member of 13(d) group				
RP Co-Investment Fund I, L.P. 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110				Member of 13(d) group				
RP Co-Investment Fund I GP, LLC 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110				Member of 13(d) group				
James Janet Burrows 160 FEDERAL STREET, 18TH FLOOR BOSTON, MA 02110				Member of 13(d) group				

Reporting Owners 2

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JAMES WILLIAM E

160 FEDERAL STREET, 18TH FLOOR Member of 13(d) group

BOSTON, MA 02110

MCDERMOTT CHARLES J

160 FEDERAL STREET, 18TH FLOOR Member of 13(d) group

BOSTON, MA 02110

Prend David J

160 FEDERAL STREET, 18TH FLOOR Member of 13(d) group

BOSTON, MA 02110

Wilson Stoddard Moran

160 FEDERAL STREET, 18TH FLOOR Member of 13(d) group

BOSTON, MA 02110

## **Signatures**

ALEXANDER ELLIS III, By: /s/ Alexander Ellis III 07/15/2011

> \*\*Signature of Reporting Person Date

ROCKPORT CAPITAL PARTNERS, LP, By: Rockport Capital I LLC, General Partner, By: 07/15/2011

/s/ Janet B. James Name: Janet B. James Title: Managing Member

\*\*Signature of Reporting Person Date

RP CO-INVESTMENT FUND I, L.P., By: RP Co-Investment Fund I GP, LLC, By: /s/ Janet

07/15/2011 B. James Name: Janet B. James Title: Managing Member

\*\*Signature of Reporting Person Date

ROCKPORT CAPITAL I LLC, By: /s/ Janet B. James Name: Janet B. James Title:

Managing Member

\*\*Signature of Reporting Person Date

RP CO-INVESTMENT FUND I GP, LLC, By: /s/ Janet B. James Name: Janet B. James

Title: Managing Member

\*\*Signature of Reporting Person Date

JANET B. JAMES, By: /s/ Janet B. James

07/15/2011

\*\*Signature of Reporting Person

07/15/2011 WILLIAM E. JAMES, By: /s/ William E. James

\*\*Signature of Reporting Person Date

CHARLES J. MCDERMOTT, By: /s/ Charles J. McDermott

Date

\*\*Signature of Reporting Person

07/15/2011

07/15/2011

07/15/2011

Date

DAVID J. PREND, By: /s/ David J. Prend

07/15/2011 Date

STODDARD M. WILSON, By: /s/ Stoddard M. Wilson

\*\*Signature of Reporting Person

07/15/2011

Date

\*\*Signature of Reporting Person

### **Explanation of Responses:**

If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Signatures 3

Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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- (1) This filing is being made as the result of the disposition of securities of Clean Diesel Technologies, Inc. by RockPort Capital Partners, L.P. and RP Co-Investment Fund I, L.P. in a public offering that closed on July 5, 2011.
  - Such securities are held directly by RockPort Capital Partners, L.P., and may be deemed to be beneficially owned by RockPort Capital I LLC, the general partner of RockPort Capital Partners, L.P, and by Alexander Ellis III, Janet B. James, William E. James, Charles J.
- McDermott, David J. Prend and Stoddard M. Wilson, who are the managing members of RockPort Capital I LLC. All such persons other than RockPort Capital Partners, L.P. disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such reporting person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.
  - Such securities are held directly by RP Co-Investment Fund I, L.P., and may be deemed to be beneficially owned by RP Co-Investment Fund I GP, LLC, the general partner of RP Co-Investment Fund I, L.P., and by Alexander Ellis III, Janet B. James, William E. James, Charles L. M. Dawrett Broad and Standard M. Wilson with a result of RP Co-Investment Fund I GP, LLC.
- (3) Charles J. McDermott, David J. Prend and Stoddard M. Wilson, who are the managing members of RP Co-Investment Fund I GP, LLC. All such persons other than RP Co-Investment Fund I, L.P. disclaim beneficial ownership of such securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such reporting person is the beneficial owner of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.