Edgar Filing: HANMI FINANCIAL CORP - Form 4

HANMI FINA Form 4 October 31, 20	ANCIAL CORP									
FORM	Λ								PPROVAL	
-	UNITEDS	STATES SE	CURITIES A Washington,			NGE C	COMMISSION	OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. STATEMENT OF CHANG				GES IN BENEFICIAL OWNERSHIP OF SECURITIES				burden hou	ated average n hours per	
Form 4 or Form 5 obligations may contin <i>See</i> Instruc 1(b).	Section 17(a) of the Publ		ling Com	pany	Act of	e Act of 1934, f 1935 or Section 40	response n	0.5	
(Print or Type Re	esponses)									
1. Name and Ad Robinson Lor	nbol	er Name and Ticker or Trading II FINANCIAL CORP				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) 3660 WILSH PH-A	Date of Earliest Tr onth/Day/Year) /19/2011	-				Director 10% Owner _X Officer (give title Other (specify below) below) EVP & CFO				
	(Street)	treet) 4. If Amendment, Date Original Filed(Month/Day/Year)			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 					
LOS ANGEL	LES, CA 90010						Form filed by M Person	Iore than One Re	porting	
(City)	(State) (Zip)	Table I - Non-D	erivative S	ecuri	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
	2. Transaction Date (Month/Day/Year)		Code	4. Securiti n(A) or Dis (Instr. 3, 4) Amount	posed	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
common stock	10/19/2011		А	20,000		\$ 1.04	20,000 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

I S	. Title of Derivative Security Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisab Expiration Date (Month/Day/Year		7. Title and A Underlying S (Instr. 3 and	Securities
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Share
	otock	\$ 1.04	10/19/2011		А	50,000	10/19/2012 <u>(2)</u>	10/19/2016	Common Stock	50,000

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Robinson Lonny Duane 3660 WILSHIRE BLVD. SUITE PH-A LOS ANGELES, CA 90010			EVP & CFO				
Signatures							

//Lonny Robinson	10/31/2011			
<u>**</u> Signature of Reporting Person	Date			

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Restricted stock grant of 20,000 shares to vest in equal installments over a five year period.

(2) Grant for 50,000 shares of the Hanmi stock, to vest in equal installments over a five year period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.