Steigerwalt Eric T Form 3 November 29, 2011

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

January 31, 2005

0.5

Estimated average burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

1. Title of Security

(Instr. 4)

Steigerwalt Eric T

(First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

11/21/2011

METLIFE INC [MET]

4. Relationship of Reporting Person(s) to Issuer

Director

\_X\_\_ Officer

5. If Amendment, Date Original

Filed(Month/Day/Year)

200 PARK AVENUE

(Street)

(Check all applicable)

EVP - CFO

6. Individual or Joint/Group

Filing(Check Applicable Line) X\_ Form filed by One Reporting

Person (give title below) (specify below)

10% Owner

Other

Form filed by More than One

Reporting Person

NEW YORK, Â NYÂ 10166

(City) (State) (Zip)

Table I - Non-Derivative Securities Beneficially Owned

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

4. Nature of Indirect Beneficial Ownership

(Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock D 28,643

Common Stock 1,250 Â

By The Company's Savings and Investment Plan - 401(k) (1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

I

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Date Exercisable and 3. Title and Amount of 6. Nature of Security **Expiration Date** Securities Underlying Conversion Ownership Indirect Beneficial (Month/Day/Year) (Instr. 4) **Derivative Security** or Exercise Form of Ownership (Instr. 4) Price of Derivative (Instr. 5)

Edgar Filing: Steigerwalt Eric T - Form 3

	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	(2)	02/18/2012	Common Stock	7,800	\$ 30.35	D	Â
Employee Stock Option (Right to Buy)	(3)	02/17/2013	Common Stock	14,000	\$ 26	D	Â
Employee Stock Option (Right to Buy)	(4)	02/16/2014	Common Stock	10,550	\$ 35.26	D	Â
Employee Stock Option (Right to Buy)	(5)	04/14/2015	Common Stock	11,100	\$ 38.47	D	Â
Employee Stock Option (Right to Buy)	(6)	02/27/2016	Common Stock	9,000	\$ 50.12	D	Â
Employee Stock Option (Right to Buy)	(7)	02/26/2017	Common Stock	7,400	\$ 62.8	D	Â
Employee Stock Option (Right to Buy)	(8)	02/25/2018	Common Stock	10,500	\$ 60.51	D	Â
Employee Stock Option (Right to Buy)	(9)	02/23/2019	Common Stock	16,000	\$ 23.3	D	Â
Employee Stock Option (Right to Buy)	(10)	02/22/2020	Common Stock	28,300	\$ 34.84	D	Â
Employee Stock Option (Right to Buy)	(11)	02/22/2021	Common Stock	25,950	\$ 45.79	D	Â
Restricted Stock Units	02/24/2012(12)	(12)	Common Stock	10,000	\$ 23.3	D	Â

## **Reporting Owners**

\*\*Signature of Reporting Person

Reporting Owner Name / Address	Relationships					
<b>F-</b>	Director	10% Owner	Officer	Other		
Steigerwalt Eric T 200 PARK AVENUE NEW YORK, NY 10166	Â	Â	EVP - CFO	Â		
Signatures						
Richard S. Collins, authorized signer		11/29/201	1			

Date

Reporting Owners 2

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported holding reflects shares allocated to and indirectly held by the reporting person under the Savings and Investment Plan for Employees of Metropolitan Life and Participating Affiliates Trust.
- (2) The options became exercisable in three equal installments on February 19, 2003, 2004 and 2005. The number of shares represents the outstanding, unexercised portion of the option.
- (3) The options became exercisable in three equal installments on February 18, 2004, 2005 and 2006.
- (4) The options became exercisable in three equal installments on February 17, 2005, 2006 and 2007.
- (5) The options became exercisable in three equal installments on April 15, 2006, 2007 and 2008.
- (6) The options became exercisable in three equal installments on February 28, 2007, 2008 and 2009.
- (7) The options became exercisable in three equal installments on February 27, 2008, 2009 and 2010.
- (8) The options became exercisable in three equal installments on February 26, 2009, 2010 and 2011.
- (9) Two-Thirds (2/3) of this option is currently vested and exercisable. The remaining one-third (1/3) will become exercisable on February 24, 2012.
- One-third (1/3) of this option is currently vested and exercisable. The remaining two-thirds (2/3) will become exercisable on February 23, 2012 and 2013.
- (11) The option becomes exercisable in three equal installments on February 23, 2012, 2013, and 2014
- (12) The award of Restricted Stock Units has a three year cliff vesting schedule and will vest on February 24, 2012 and will be payable 100% in shares.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.