Kellman Joel D Form 4 April 05, 2012

### FORM 4

#### **OMB APPROVAL**

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

**OMB** 3235-0287 Number:

Check this box if no longer subject to Section 16.

Washington, D.C. 20549

January 31, Expires: 2005

Form 4 or Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* **GRANITE GLOBAL VENTURES** L.P.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

VOCERA COMMUNICATIONS, INC. [VCRA]

(Check all applicable)

(Last) (First) (Middle)

(Street)

3. Date of Earliest Transaction (Month/Day/Year)

10% Owner Director

C/O GGV CAPITAL, 2494 SAND

04/02/2012

Officer (give title \_\_X\_ Other (specify below) below) Former 10% Owner

6. Individual or Joint/Group Filing(Check

HILL ROAD, SUITE 100

4. If Amendment, Date Original

Filed(Month/Day/Year)

Applicable Line)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

MENLO PARK, CA 94025

Person

(City)	(State)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securities Approximately 1.	of (D)	red (A)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	04/02/2012		С	20,478	A	(1)	20,478	I	By Granite Global Ventures, L.P. (2)	
Common Stock	04/02/2012		С	1,198,469	A	<u>(1)</u>	1,198,469	I	By Granite Global Ventures (Q.P.) L.P. (3)	

Common Stock	04/02/2012	С	922	A	(1)	21,400	I	By Granite Global Ventures, L.P. (2)
Common Stock	04/02/2012	С	53,988	A	(1)	1,252,457	I	By Granite Global Ventures (Q.P.) L.P. (3)
Common Stock	04/02/2012	С	6,013	A	(1)	27,413	I	By Granite Global Ventures, L.P. (2)
Common Stock	04/02/2012	С	351,914	A	(1)	1,604,371	I	By Granite Global Ventures (Q.P.) L.P. (3)
Common Stock	04/02/2012	X	3,633	A	\$ 17.78	31,046	I	By Granite Global Ventures, L.P. (2)
Common Stock	04/02/2012	X	212,601	A	\$ 17.78	1,816,972	I	By Granite Global Ventures (Q.P.) L.P. (3)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

 $\label{thm:convertible} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onDerivative Securities	Expiration Date	Underlying
Security	or Exercise		any	Code	Acquired (A) or	(Month/Day/Year)	(Instr. 3 an
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Disposed of (D)		
	Derivative				(Instr. 3, 4, and 5)		
	Security						

			Code V	(A)	(D)	Date Exercisable	Expiration Date	Title
Series D Convertible Preferred Stock	(I)	04/02/2012	С		20,478	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series D Convertible Preferred Stock	(1)	04/02/2012	С		1,198,469	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series E Convertible Preferred Stock	<u>(1)</u>	04/02/2012	С		922	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series E Convertible Preferred Stock	(1)	04/02/2012	C		53,988	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series F Convertible Preferred Stock	(1)	04/02/2012	C		6,013	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series F Convertible Preferred Stock	(1)	04/02/2012	С		351,914	<u>(1)</u>	<u>(1)</u>	Commo Stock
Series E Conv. Pref. Stock Purch. Warrant (Right to Buy)	\$ 6.61	04/02/2012	C		276	<u>(4)</u>	10/19/2015	Series I Converti Preferre Stock (
Common Stock Purchase	\$ 6.61	04/02/2012	С	276		<u>(4)</u>	10/19/2015	Commo Stock

Warrant

(Right to Buy)								
Series E Conv. Pref. Stock Purch. Warrant (Right to Buy)	\$ 6.61	04/02/2012	С		16,196	<u>(4)</u>	10/19/2015	Series l Converti Preferre Stock (
Common Stock Purchase Warrant (Right to Buy)	\$ 6.61	04/02/2012	С	16,196		<u>(4)</u>	10/19/2015	Commo Stock
Call Option (Right to Buy)	\$ 17.78	04/02/2012	Р	1		04/02/2012	04/03/2012	Commo Stock
Call Option (Right to Buy)	\$ 17.78	04/02/2012	Р	1		04/02/2012	04/03/2012	Commo Stock
Put Option (Obligation to Buy)	\$ 17.78	04/02/2012	S		285,769	04/02/2012	04/03/2012	Commo Stock
Put Option (Obligation to Buy)	\$ 17.78	04/02/2012	S		4,883	04/02/2012	04/03/2012	Commo Stock
Put Option (Obligation to Buy)	\$ 17.78	04/02/2012	X		285,769	04/02/2012	04/03/2012	Commo Stock
Put Option (Obligation to Buy)	\$ 17.78	04/02/2012	X		4,883	04/02/2012	04/03/2012	Commo Stock

Stock Option (Right to Buy)	\$ 0.3	04/02/2012	X	41,747	<u>(4)</u>	05/16/2012	Commo Stock
Stock Option (Right to Buy)	\$ 0.3	04/02/2012	X	713	<u>(4)</u>	05/16/2012	Commo Stock
Stock Option (Right to Buy)	\$ 1.08	04/02/2012	X	31,421	<u>(4)</u>	01/27/2015	Commo Stock
Stock Option (Right to Buy)	\$ 1.08	04/02/2012	X	537	<u>(4)</u>	01/27/2015	Commo Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Funite/Futuress	Director	10% Owner	Officer	Other			
GRANITE GLOBAL VENTURES L.P. C/O GGV CAPITAL 2494 SAND HILL ROAD, SUITE 100 MENLO PARK, CA 94025				Former 10% Owner			
GRANITE GLOBAL VENTURES (Q.P.) L.P. C/O GGV CAPITAL 2494 SAND HILL ROAD, SUITE 100 MENLO PARK, CA 94025				Former 10% Owner			
Granite Global Ventures L.L.C. C/O GGV CAPITAL 2494 SAND HILL ROAD, SUITE 100 MENLO PARK, CA 94025				Former 10% Owner			
Rothrock Ray A. C/O GGV CAPITAL 2494 SAND HILL ROAD, SUITE 100 MENLO PARK, CA 94025				Former 10% Owner			
				Former 10% Owner			

Reporting Owners 5

SUN ANTHONY C/O GGV CAPITAL 2494 SAND HILL ROAD, SUITE 100 MENLO PARK, CA 94025

**Bonham Scott** 

C/O GGV CAPITAL

2494 SAND HILL ROAD, SUITE 100 Former 10% Owner

MENLO PARK, CA 94025

Kellman Joel D

C/O GGV CAPITAL
2494 SAND HILL ROAD, SUITE 100
Former 10% Owner

MENLO PARK, CA 94025

Ng Thomas

C/O GGV CAPITAL

2494 SAND HILL ROAD, SUITE 100 Former 10% Owner

MENLO PARK, CA 94025

### **Signatures**

/s/ Hany M. Nada as Manager of Granite Global Ventures L.L.C. which serves as the sole General Partner to Granite Global Ventures L.P.	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Manager of Granite Global Ventures L.L.C. which serves as the sole General Partner to Granite Global Ventures (Q.P.) L.P.	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Manager of Granite Global Ventures L.L.C.	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Attorney-in-Fact for Ray A. Rothrock	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Attorney-in-Fact for Anthony Sun	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Attorney-in-Fact for Scott B. Bonham	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Attorney-in-Fact for Joel D. Kellman	04/04/2012
**Signature of Reporting Person	Date
/s/ Hany M. Nada as Attorney-in-Fact for Thomas K. Ng	04/04/2012
**Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each share of Series D, Series E and Series F Convertible Preferred Stock is convertible into one share of common stock upon the completion of the Issuer's initial public offering and has no expiration date.
- (2) The shares are owned by Granite Global Ventures L.P. ("GGV LP"), which is under common control with Granite Global Ventures (Q.P.) L.P. ("QP LP"). Granite Global Ventures L.L.C. (the "General Partner") serves as the general partner of GGV LP and QP LP and

Signatures 6

possesses power to direct the voting and disposition of the shares owned by GGV LP and QP LP and may be deemed to have indirect beneficial ownership of the shares held by GGV LP and QP LP. The General Partner owns no securities of the Issuer directly. Messrs. Rothrock, Sun, Bonham, Kellman, Nada, and Ng are members of the investment committee of the General Partner and share voting and dispositive power over the shares held by GGV LP and QP LP. Mr. Nada is a director of the Issuer and, accordingly, files separate Section 16 reports. Each Reporting Person disclaims beneficial ownership of the shares reported herein, except to the extent of his, her or its proportionate pecuniary interest therein.

- (3) The shares are owned by QP LP. Each Reporting Person disclaims beneficial ownership of the shares reported herein, except to the extent of his, her or its proportionate pecuniary interest therein. Mr. Nada is a director of the Issuer and, accordingly, files separate Section 16 reports. Each Reporting Person disclaims beneficial ownership of the shares reported herein, except to the extent of his, her or its proportionate pecuniary interest therein.
- (4) Immediately exercisable.
- Consists of the option to purchase from Robert Shostak of an aggregate of (i) 212,601shares of Common Stock and options to purchase 73,168 share of Common Stock and (ii) 3,633shares of Common Stock and options to purchase 1,250 share of Common Stock by QP LP and GGV LP, respectively, at a per share price of \$17.78 subject to that certain Put and Call Agreement with Dr. Shostak, as amended, as disclosed in the issuer's Form S-1, incorporated herein by reference (the "Put/Call Agreement").
- Consists of the obligation to purchase from Dr. Shostak of an aggregate of (i) 212,601shares of Common Stock and options to purchase (6) 73,168 share of Common Stock and (ii) 3,633shares of Common Stock and options to purchase 1,250 share of Common Stock by QP LP and GGV LP, respectively, at a per share price of \$17.78 subject to the Put/Call Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.