SolarWinds, Inc. Form 4 June 19, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

**AUSTIN, TX 78735** 

1. Name and Address of Reporting Person \* Kalsu James Barton

> (First) (Middle)

C/O SOLARWINDS, INC., 7171 SOUTHWEST PARKWAY, **BUILDING 400** 

(Street)

2. Issuer Name and Ticker or Trading Symbol

SolarWinds, Inc. [SWI]

3. Date of Earliest Transaction (Month/Day/Year)

06/17/2014

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

**OMB APPROVAL** 

Estimated average

burden hours per

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Issuer

(Check all applicable)

Director 10% Owner Other (specify X\_ Officer (give title below)

**EVP & CAO** 

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit our Dispos (Instr. 3, 4	ed of (		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	06/17/2014		M	10,000	A	\$ 4.3467	77,394	D			
Common Stock	06/17/2014		M	12,000	A	\$ 4.48	89,394	D			
Common Stock	06/17/2014		S	22,000 (1)	D	\$ 40.1256 (2)	67,394	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

#### Edgar Filing: SolarWinds, Inc. - Form 4

required to respond unless the form displays a currently valid OMB control number.

**EVP & CAO** 

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 4.3467	06/17/2014		M	10,000	(3)	10/25/2017	Common Stock	10,000
Employee Stock Option (right to buy)	\$ 4.48	06/17/2014		M	12,000	<u>(4)</u>	01/23/2018	Common Stock	12,000

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Kalsu James Barton

C/O SOLARWINDS, INC.

7171 SOUTHWEST PARKWAY, BUILDING 400

AUSTIN, TX 78735

### **Signatures**

/s/ Jason W. Bliss, Attorney-in-Fact 06/19/2014

\*\*Signature of Reporting Person Da

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares sold pursuant to a 10b5-1 Trading Plan.

**(2)** 

Reporting Owners 2

### Edgar Filing: SolarWinds, Inc. - Form 4

This transaction was executed in multiple trades at prices ranging from \$40.00 to \$40.28, inclusive. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares sold and each separate price within the ranges set forth in this footnote.

- (3) 1/4th of the shares subject to the grant vested and became exercisable on August 27, 2008 and 1/48th of the shares vested and became exercisable each month thereafter.
- (4) 1/4th of the shares subject to the grant vested and became exercisable on January 23, 2009 and 1/48th of the shares vested and became exercisable each month thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.