

SPLUNK INC
Form 4
October 15, 2014

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SULLIVAN GODFREY

(Last) (First) (Middle)

C/O SPLUNK INC., 250
BRANNAN STREET

(Street)

SAN FRANCISCO, CA 94107

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
SPLUNK INC [SPLK]

3. Date of Earliest Transaction
(Month/Day/Year)
10/13/2014

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
President, CEO & Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/13/2014		M	V	16,666 A \$ 0.565	189,690	D
Common Stock	10/13/2014		S ⁽¹⁾	D	3,700 D \$ 50.32 ⁽²⁾	185,990	D
Common Stock	10/13/2014		S ⁽¹⁾	D	5,866 D \$ 51.18 ⁽³⁾	180,124	D
Common Stock	10/13/2014		S ⁽¹⁾	D	5,900 D \$ 52.05 ⁽⁴⁾	174,224	D

Edgar Filing: SPLUNK INC - Form 4

Common Stock	10/13/2014		<u>S⁽¹⁾</u>	600	D	\$ 53.18 <u>(5)</u>	173,624	D	
Common Stock	10/13/2014		<u>S⁽¹⁾</u>	941	D	\$ 54.62 <u>(6)</u>	172,683	D	
Common Stock	10/14/2014		M	16,666	A	\$ 0.565	189,349	D	
Common Stock	10/14/2014		<u>S⁽¹⁾</u>	16,666	D	\$ 52.07	172,683	D	
Common Stock	10/15/2014		M	16,668	A	\$ 0.565	189,351	D	
Common Stock	10/15/2014		<u>S⁽¹⁾</u>	1,719	D	\$ 50.29 <u>(7)</u>	187,632	D	
Common Stock	10/15/2014		<u>S⁽¹⁾</u>	9,149	D	\$ 51.38 <u>(8)</u>	178,483	D	
Common Stock	10/15/2014		<u>S⁽¹⁾</u>	1,300	D	\$ 52.26 <u>(9)</u>	177,183	D	
Common Stock	10/15/2014		<u>S⁽¹⁾</u>	3,400	D	\$ 53.48 <u>(10)</u>	173,783	D	
Common Stock	10/15/2014		<u>S⁽¹⁾</u>	8,650	D	\$ 54.05 <u>(11)</u>	165,133	D	
Common Stock							1,806,350	I	See footnote <u>(12)</u>
Common Stock							17,700	I	See footnote <u>(13)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if	4. Transaction	5. Number of Derivative	6. Date Exercisable and Expiration Date	7. Title and Amount of Underlying Securities
------------------------	---------------	--------------------------------------	-------------------------------	----------------	-------------------------	---	--

Edgar Filing: SPLUNK INC - Form 4

within the ranges set forth in footnotes (2) through (11) to this Form 4.

- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.71 to \$51.70, inclusive.
- (4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.71 to \$52.57, inclusive.
- (5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.85 to \$53.54, inclusive.
- (6) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.08 to \$54.86, inclusive.
- (7) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$49.80 to \$50.76, inclusive.
- (8) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$50.82 to \$51.81, inclusive.
- (9) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$51.83 to \$52.77, inclusive.
- (10) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$52.92 to \$53.90, inclusive.
- (11) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$54.00 to \$54.35, inclusive.
- (12) Shares held directly by the Godfrey and Suzanne Sullivan Revocable Trust dated December 5, 2000 for which the Reporting Person serves as a trustee.
- (13) Shares held directly by the Reporting Person's younger daughter. The Reporting Person disclaims beneficial ownership of the shares, and this report should not be deemed an admission that the Reporting Person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.
- (14) The option is fully vested and immediately exercisable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.