

BROWN FORMAN CORP
Form 4
September 18, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Joy Catherine Frazier

2. Issuer Name and Ticker or Trading Symbol
BROWN FORMAN CORP [BFA, BFB]

5. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

(Last) (First) (Middle)
850 DIXIE HIGHWAY
(Street)

3. Date of Earliest Transaction (Month/Day/Year)
07/20/2015

____ Director
____ Officer (give title below)
 10% Owner
____ Other (specify below)

LOUISVILLE, KY 40210
(City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
			Code	V	Amount	(A) or (D)	Price			
Class A Common	07/20/2015		W ⁽¹⁾	V	1,277,720	A	\$ 0	9,104,152	I	Avish Agincourt LLC
Class A Common	07/20/2015		W ⁽¹⁾	V	2,555,440	D	\$ 0	9,104,152	I	Avish Agincourt LLC
Class B Common	07/20/2015		W ⁽¹⁾	V	579,690	A	\$ 0	4,130,474	I	Avish Agincourt LLC
Class B Common	07/20/2015		W ⁽¹⁾	V	1,159,381	D	\$ 0	4,130,474	I	Avish Agincourt

Edgar Filing: BROWN FORMAN CORP - Form 4

Class A Common	09/16/2015	G	V	651,681	A	(2)	9,104,152	I	Avish Agincourt LLC
Class A Common	09/16/2015	G	V	651,681	D	(2)	9,104,152	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V	295,662	A	(2)	4,130,474	I	Avish Agincourt LLC
Class B Common	09/16/2015	G	V	295,662	D	(2)	4,130,474	I	Avish Agincourt LLC
Class A Common							190,326	D	
Class B Common							489,549	D	
Class A Common							10,000	I	Alexander Joy
Class B Common							641	I	Alexander Joy IMA
Class A Common							15,633	I	Alexander Joy UTMA
Class B Common							13,125	I	Alexander Joy UTMA
Class A Common							56,334	I	Annslay Frazier Thornton Trust
Class B Common							41,046	I	Annslay Frazier Thornton Trust
Class A Common							23,184	I	By Spouse
Class B Common							30,726	I	By Spouse
Class A Common							22,000	I	Caitlin Joy
Class B Common							641	I	Caitlin Joy IMA
							5,922	I	

Edgar Filing: BROWN FORMAN CORP - Form 4

Class A Common							Caitlin Joy UTMA
Class B Common				4,893	I		Caitlin Joy UTMA
Class A Common				16,000	I		Charles Joy
Class B Common				641	I		Charles Joy IMA
Class A Common				10,548	I		Charles Joy UTMA
Class B Common				8,895	I		Charles Joy UTMA
Class A Common				5,000	I		Christopher Joy
Class B Common				641	I		Christopher Joy IMA
Class A Common				22,824	I		Christopher Joy UTMA
Class B Common				20,773	I		Christopher Joy UTMA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repor Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Joy Catherine Frazier 850 DIXIE HIGHWAY LOUISVILLE, KY 40210		X		

Signatures

Kelly Bowen, Attorney in Fact for Catherine Frazier Joy 09/18/2015

____Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the division of a family trust holding units of Avish Agincourt LLC following the death of the settlor.
- On September 16, 2015, the reporting person transferred units of Avish Agincourt LLC to a grantor trust for the benefit of family
- (2) members in which the reporting person retains a pecuniary interest. The reporting person received a note under the terms of the trust providing for a periodic payment similar in operation to a grantor retained annuity trust.

Remarks:

The reporting person disclaims beneficial ownership of shares held by trusts and entities set forth in this report except to the extent

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.