

GLOBUS MEDICAL INC  
Form 4  
January 06, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**LIPTAK ROBERT**

(Last) (First) (Middle)

**VALLEY FORGE BUSINESS CENTER, 2560 GENERAL ARMISTEAD AVENUE**

(Street)

**AUDUBON, PA 19403**

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**GLOBUS MEDICAL INC [GMED]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**01/04/2016**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Class A Common Stock            | 01/04/2016                           |  | M                              |   | 300 A \$ 15.34  | 26,459   | D   |
| Class A Common Stock            | 01/04/2016                           |  | S <sup>(1)</sup>               |   | 300 D \$ 27.5   | 26,159   | D   |
| Class A Common Stock            | 01/06/2016                           |  | M                              |   | 15,000 A \$ 15.34   | 41,159   | D   |
| Class A Common Stock            | 01/06/2016                           |  | S <sup>(1)</sup>               |   | 15,000 D \$ 27.5  | 26,159   | D   |

Common  
Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)       | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable Expiration Date                         | Title Amount or Number of Shares                              |
| Stock Option (Right to Buy Class A Common Stock) | \$ 15.34   | 01/04/2016                           |  | M                              | 300   | <u>(2)</u> 08/29/2022                                    | Class A Common Stock 300                                      |
| Stock Option (Right to Buy Class A Common Stock) | \$ 15.34   | 01/06/2016                           |  | M                              | 15,000  | <u>(2)</u> 08/29/2022                                    | Class A Common Stock 15,000                                   |

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

LIPTAK ROBERT  
VALLEY FORGE BUSINESS CENTER  
2560 GENERAL ARMISTEAD AVENUE  
AUDUBON, PA 19403

X

## Signatures

/s/ Anthony L. Williams,  
Attorney-in-Fact

01/06/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This sale was pursuant to a Rule 10b5-1 Plan entered into by the reporting person on December 2, 2015, which is intended to comply with Rule 10b5-1.
  - (2) These options vest over a three-year period with one-twelfth (1/12) of the options granted vesting on December 31, 2012, and the balance of the options granted vesting ratably on a quarterly basis over the following 11 quarters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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