INFINERA CORP Form 4

February 09, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

Form 4 or

obligations

may continue.

Form 5

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Jandro Robert J

(Last) (First) (Middle)

C/O INFINERA CORPORATION, 140 CASPIAN COURT

(Street)

(State)

SUNNYVALE, CA 94089

2. Issuer Name **and** Ticker or Trading Symbol

INFINERA CORP [INFN]

3. Date of Earliest Transaction (Month/Day/Year) 02/05/2016

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

OMB APPROVAL

Estimated average

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January 31,

2005

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response...

(Check all applicable)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person ____ Form filed by More than One Reporting

Person

(City)	(State) (Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	Fransaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	02/05/2016		M	13,539	A	\$0	50,038	D	
Common Stock	02/05/2016		F	4,634	D	\$ 14.4	45,404	D	
Common Stock	02/05/2016		M	5,635	A	\$0	51,039	D	
Common Stock	02/05/2016		F	1,829	D	\$ 14.4	49,210	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		3 (
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(1)						(2)	(2)	Common Stock	60,000	
Restricted Stock Units	(1)						(3)	(3)	Common Stock	27,079	
Restricted Stock Units	(1)						<u>(4)</u>	<u>(4)</u>	Common Stock	17,990	
Restricted Stock Units	(1)	02/05/2016		M		13,539	<u>(5)</u>	(5)	Common Stock	13,539	
Restricted Stock Units	(1)	02/05/2016		M		5,635	<u>(6)</u>	<u>(6)</u>	Common Stock	5,635	

Reporting Owners

Reporting Owner Name / Address	Kelationships						
	Director	10% Owner	Officer	Other			
Jandro Robert J							

C/O INFINERA CORPORATION
140 CASPIAN COURT
SUNNYVALE, CA 94089

Senior VP, Worldwide Sales

Reporting Owners 2

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Signatures

/s/ Michael Post, by Power of Attorney

02/09/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit ("RSU") represents a contingent right to receive one share of Infinera Corporation common stock.
- (2) These RSUs vest in four annual installments beginning on August 5, 2014.
- (3) These RSUs vest in three annual installments beginning on May 5, 2015.
- (4) These RSUs vest in three annual installments beginning on May 5, 2016.
- (5) This includes 13,539 shares granted pursuant to a performance-based RSU award, which vested and released to Mr. Jandro effective February 5, 2016.
- (6) This includes 5,635 shares granted pursuant to a performance-based RSU award, which vested and released to Mr. Jandro effective February 5, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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