CommScope Holding Company, Inc.

Form 4 April 29, 2016

# FORM 4

#### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Form 5 obligations

1. Name and Address of Reporting Person \*

**SECURITIES** 

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2 Januar Nama and Tielzer or Tradina

1(b).

Common

Stock

04/28/2016

(Print or Type Responses)

| Edwards N                            | 2. Issuer Name and Ticker or Trading Symbol CommScope Holding Company, Inc. [COMM] |  |          |                                       |                   | Iss   | (Check all applicable) |                |  |  |   |
|--------------------------------------|--|--|----------|---------------------------------------|-------------------|---|------------------------|----------------|--|--|---|
|                                      |  |  |          | (Month/Day/Year) —                    |                   |   |                        |                | X Director 10% Owner X Officer (give title Other (specify low) below) President and CEO                            |  |   |
|                                      | 4. If Amendment, Date Original 6.  |  |          |                                       |                   | 6. Individual or Joint/Group Filing(Check             |                        |                |  |  |   |
|                                      | · · · · · · · · · · · · · · · · · · ·  |  |          |                                       |                   | pplicable Line) X_ Form filed by One Reporting Person |                        |                |  |  |   |
| HICKORY                              | Y, NC 28602  |  |          |                                       |                   |   |                        |                | _ Form filed by Mor<br>son   |  |   |
| (City)                               | (State)  | (Zip)  | Tal      | ble I - Non                           | -Deriva           | ative S   | Securi                 | ties Acquire   | ed, Disposed of, o   | or Beneficially  | y Owned   |
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year)  | 2A. Deeme<br>Execution I<br>any<br>(Month/Da | Date, if | 3.<br>Transacti<br>Code<br>(Instr. 8) | onDispo<br>(Instr | osed of . 3, 4 a                                      | f (D)                  |                | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 04/28/2016   |  |          | M(1)                                  | 100,              | 000   | A                      | \$ 5.74        | 240,735 (2)  | D  |   |
| Common<br>Stock                      | 04/28/2016   |  |          | S(1)                                  | 64,6              | 61  | D                      | \$ 30.8271 (3) | 176,074 (2)  | D  |   |
|                                      |  |  |          |                                       |                   |   |                        |                |  |  |   |

35,339

D

\$ 31.345

(4)

140,735 (2)

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $S_{\underline{1}}^{(1)}$ 

#### Edgar Filing: CommScope Holding Company, Inc. - Form 4

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                  |
|---|---|---|---|---|---|--|--------------------|---|----------------------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount of<br>Number of<br>Shares |
| Stock<br>Option<br>(Right to                        | \$ 5.74   | 04/28/2016                              |   | M                                       | 100,000   | 02/17/2016   | 01/26/2021         | Common<br>Stock   | 100,000                          |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                   |       |  |  |
|--|---------------|-----------|-------------------|-------|--|--|
| r  | Director      | 10% Owner | Officer           | Other |  |  |
| Edwards Marvin S Jr<br>C/O COMMSCOPE HOLDING COMPANY, INC.,<br>1100 COMMSCOPE PLACE, SE<br>HICKORY, NC 28602 | X             |           | President and CEO |       |  |  |

## **Signatures**

Buy)

/s/Frank B. Wyatt, II, under a Power of Attorney 04/29/2016

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on February 29, 2016.
- As previously reported, includes (a) 53,461 restricted stock units that were granted on 02/23/2016 and will vest in three equal installments (2) on 02/23/2017, 02/23/2018, and 02/23/2019; and (b) 27,020 restricted stock units that were granted on 02/24/2015 and will vest ratably on 02/24/2017 and 02/24/2018, each subject to the reporting person's continued employment with the issuer.
- The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$30.15 to

  (3) \$31.14. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

**(4)** 

Reporting Owners 2

#### Edgar Filing: CommScope Holding Company, Inc. - Form 4

The price shown is the weighted average price of the shares sold in this transaction. The price range for this transaction is \$31.15 to \$31.64. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.