MAXWELL TECHNOLOGIES INC

Form 4 May 10, 2016

FORM 4

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287 Number:

Check this box if no longer subject to Section 16.

January 31, Expires: 2005

5. Relationship of Reporting Person(s) to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

Common

Common

Stock

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person *

05/06/2016

05/06/2016

Fink Franz			Symbol MAXWELL TECHNOLOGIES INC [MXWL]			Issuer (Check all applicable)				
	(Last)	(First)	(Middle)	3. Date of (Month/Da	Earliest Tra	ansaction	X Director X Officer (give	e titleOth	Owner er (specify	
	3888 CALLE FORTUNADA			05/06/20	016		below) below) Chief Executive Officer			
(Street)				4. If Amendment, Date Original Filed(Month/Day/Year)			6. Individual or Joint/Group Filing(Check			
							Applicable Line) _X_ Form filed by One Reporting Person			
	SAN DIEGO	O, CA 92123					Form filed by More than One Reporting Person			
	(City)	(State)	(Zip)	Table	e I - Non-D	erivative Securities Acq	uired, Disposed o	f, or Beneficial	ly Owned	
	1.Title of	2. Transaction Da			3.	4. Securities Acquired	5. Amount of			
	Security (Instr. 3)	(Month/Day/Year		on Date, if	Transaction Code	on(A) or Disposed of (D)	Securities Beneficially	Form: Direct (D) or	Indirect Beneficial	
	(111801. 3)		any (Month/	Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	Indirect (I)	Ownership	
			(2.222141)		(3)	(Following	(Instr. 4)	(Instr. 4)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

M

M

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Reported

190,000

202,790

Transaction(s) (Instr. 3 and 4)

D

D

(A)

(D)

Price

\$0

(1)

Amount

10,000

12,790 A

Edgar Filing: MAXWELL TECHNOLOGIES INC - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

De

(In

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	ransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 II S ((
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	\$ 0 (1)	05/06/2016		M		10,000	(2)	(3)	Common Stock	10,000	
Restricted Stock Units	\$ 0 (1)	05/06/2016		M		12,790	(2)	(3)	Common Stock	12,790	

Reporting Owners

Reporting Owner Name / Address	Relationships						
r	Director	10% Owner	Officer	Other			
Fink Franz 3888 CALLE FORTUNADA SAN DIEGO, CA 92123	X		Chief Executive Officer				

Signatures

Sabrina Randolph - Attorney in Fact 05/10/2016

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These units do not carry a conversion price.
- (2) Award vests in in annual installments over 4 years as long as holder remains in service to the Company. Vested shares will be delivered to the holder shortly after the vesting date.
- (3) These units do not expire.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2