#### Edgar Filing: GOODYEAR TIRE & RUBBER CO /OH/ - Form 3

#### GOODYEAR TIRE & RUBBER CO /OH/

Form 3 June 02, 2016

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement GOODYEAR TIRE & RUBBER CO /OH/ [GT] Scocos Evan M (Month/Day/Year) 06/01/2016 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 200 INNOVATION WAY (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person (give title below) (specify below) AKRON, OHÂ 44316 Form filed by More than One Vice President and Controller Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)		3. Title and Amount of Securities Underlying Derivative Security			5. Ownership Form of	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exp Exercisable Date	piration te	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	

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2005 Plan Option (1)	(2)	02/27/2017	Common Stock	950	\$ 24.71	D	Â
2005 Plan Option (1)	(2)	02/21/2018	Common Stock	988	\$ 26.74	D	Â
2008 Plan Option (3)	(2)	02/23/2020	Common Stock	439	\$ 12.74	D	Â
2008 Plan Option (3)	(2)	02/22/2021	Common Stock	904	\$ 13.91	D	Â
2008 Plan Option (3)	(2)	02/27/2022	Common Stock	1,472	\$ 12.94	D	Â
2008 Plan Option (3)	(2)	02/28/2023	Common Stock	2,093	\$ 12.98	D	Â
2013 Restricted Stock Units (4)	(5)	(5)	Common Stock	349	\$ <u>(5)</u>	D	Â
2013 Restricted Stock Units (4)	(6)	(6)	Common Stock	373	\$ <u>(6)</u>	D	Â
2013 Restricted Stock Units (4)	(7)	(7)	Common Stock	386	\$ <u>(7)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
Transfer and the same	Director 10% Owner		Officer	Other		
Scocos Evan M 200 INNOVATION WAY AKRON Â OHÂ 44316	Â	Â	Vice President and Controller	Â		

### **Signatures**

/s/ Daniel T Young, signing as an attorney-in-fact and agent duly authorized to execute this Form 3 on behalf of Evan M Scocos pursuant to a Power of Attorney dated 5/17/16, a copy of which is filed herewith.

06/02/2016

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Non-Qualified Stock Option in respect of shares of common stock granted under the 2005 Performance Plan.
- (2) The option vests and becomes exercisable in 25% increments over four years commencing one year after the date of grant.
- (3) Non-Qualified Stock Option in respect of shares of common stock granted under the 2008 Performance Plan.
- (4) Restricted Stock Units, each equivalent to a share of common stock, granted under the 2013 Performance Plan.
- (5) The Restricted Stock Units vest and convert into shares of common stock on February 24, 2017.
- (6) The Restricted Stock Units vest and convert into shares of common stock on February 23, 2018.

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(7) The Restricted Stock Units vest and convert into shares of common stock on February 22, 2019.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.