Edgar Filing: FINANCIAL INSTITUTIONS INC - Form 3

FINANCIAL INSTITUTIONS INC Form 3 February 01, 2017 UNITED STATES SECURITIES AND EXCHANGE COMMISSION FORM 3 Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

3235-0104 Number: January 31, Expires: 2005 Estimated average burden hours per response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Burton Craig J		2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol FINANCIAL INSTITUTIONS INC [FISI]					
(Last)	(First)	(Middle)	01/25/2017	4. Relationshi Person(s) to Is	p of Reporting		5. If Amend Filed(Month/	ment, Date Original /Day/Year)
220 LIBERT	Y STREET	-						
	(Street)			(Check	all applicable)		6. Individua	l or Joint/Group
WARSAW,Á	À NYÂ 145	69		<i>v</i>	<pre> 10% C Other w) (specify belo Vice President</pre>		_X_ Form file Person	Applicable Line) ed by One Reporting d by More than One rson
(City)	(State)	(Zip)	Table I - I	Non-Derivative Securities Beneficially Owned				
1.Title of Secur (Instr. 4)	ity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Na Owne (Instr	•	ct Beneficial
Reminder: Repo		te line for ea	ach class of securities benefic	ially S	EC 1473 (7-02))		
	inform: require	ation cont d to respo	pond to the collection of ained in this form are no ond unless the form disp MB control number.	t				
T	able II - Deri	vative Secu	rities Beneficially Owned (.g., puts, calls,	warrants, opti	ions, c	convertible se	ecurities)
1. Title of Deriv (Instr. 4)	vative Security	7 2. Date 1 Expiration (Month/Day	on Date Secur	le and Amount ities Underlying ative Security . 4)	g Convers or Exerc Price of Derivat	cise I ive	5. Ownership Form of Derivative Security:	6. Nature of Indirect Beneficial Ownership (Instr. 5)
		Date	Expiration		Cit-		$D_{int} \to (D)$	

Exercisable

Date

Title

Direct (D)

or Indirect

(Instr. 5)

(I)

Security

Amount or

Number of

Shares

	U	•					
tricted Stock Unit	11/14/2019	11/14/2019	Common Stock	3,000	\$ <u>(1)</u>	D	Â

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Reporting Owners

Rest

Reporting Owner Name / Address	Relationships				
	Director	10% Owner Officer		Other	
Burton Craig J 220 LIBERTY STREET WARSAW, NY 14569	Â	Â	Senior Vice President	Â	
Signatures					
Michael D. Grover, by power of attorney	02/01/2017				

**Signature of Reporting Person

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Date

(1) Each restricted stock unit represents a contingent right to receive one share of FISI common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.