HCA Holdings, Inc. Form 4 February 13, 2017

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Section 16. Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Paslick P. Martin			2. Issuer Name <b>and</b> Ticker or Trading Symbol HCA Holdings, Inc. [HCA]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)		
ONE PARK PLAZA			(Month/Day/Year) 02/09/2017	Director 10% Owner _X_ Officer (give title Other (specify below)  SVP and CIO		
(Street)			4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
NASHVILLE, TN 37203			Filed(Month/Day/Year)	Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person		

		1 Cloud							
(City)	(State)	${\bf (Zip)} \qquad \qquad {\bf Table~I-Non-Derivative~Securities~Acquired, Disposed~of, or~Beneficially~Owned}$							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit our Dispos (Instr. 3, 4	ed of (	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/09/2017		M	11,264	A	\$ 5.9821	11,908	D	
Common Stock	02/09/2017		M	6,756	A	\$ 6.4772	18,664	D	
Common Stock	02/09/2017		M	2,252	A	\$ 10.9168	20,916	D	
Common Stock	02/09/2017		M	2,252	A	\$ 15.9112	23,168	D	
Common Stock	02/09/2017		S	22,524	D	\$ 83.5	644	D	

#### Edgar Filing: HCA Holdings, Inc. - Form 4

Common Stock	26,248	I	By Spouse
Common Stock	149.4029	I	By Son

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Num of Share
Non-Qualified Stock Option (right to buy)	\$ 5.9821 (1)	02/09/2017		M	6,758	<u>(2)</u>	08/27/2019	Common Stock	6,7 (3
Non-Qualified Stock Option (right to buy)	\$ 5.9821 (1)	02/09/2017		M	4,506	<u>(4)</u>	08/27/2019	Common Stock	4,5 (3
Non-Qualified Stock Option (right to buy)	\$ 6.4772 (1)	02/09/2017		M	2,252	08/27/2011	08/27/2019	Common Stock	2,2 (3
Non-Qualified Stock Option (right to buy)	\$ 6.4772 (1)	02/09/2017		M	4,504	(5)	08/27/2019	Common Stock	4,5 (3
Non-Qualified Stock Option (right to buy)	\$ 10.9168 (1)	02/09/2017		M	2,252	08/27/2010	08/27/2019	Common Stock	2,2 (3
Non-Qualified Stock Option (right to buy)	\$ 15.9112 (1)	02/09/2017		M	2,252	<u>(6)</u>	08/27/2019	Common Stock	2,2 (3

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Paslick P. Martin

ONE PARK PLAZA SVP and CIO

NASHVILLE, TN 37203

## **Signatures**

/s/ Natalie Harrison Cline, Attorney-in-Fact 02/13/2017

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise Price was adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011.
- (2) The option was scheduled to vest in three equal annual installments beginning on August 27, 2012. A change in control of the Issuer occurred effective November 1, 2013, resulting in the accelerated vesting of the option as to the shares that remained unvested.
- (3) Shares have been adjusted to reflect a 4.505 to 1 stock split that occurred with respect to the Issuer's common stock effective March 9, 2011
- The option vested in equal increments (i) at the end of fiscal year 2012 upon the achievement of certain annual EBITDA performance (4) targets and (ii) upon a change in control (as defined in the 2006 Stock Incentive Plan for Key Employees of HCA Holdings, Inc. and its
- (5) The option vested at the end of fiscal years 2010 and 2011 based upon the achievement of certain annual EBITDA performance targets.
- (6) The option vested at the end of fiscal year 2009 based upon the achievement of certain annual EBITDA performance targets.

Affiliates as Amended and Restated) of the Issuer which occurred effective November 1, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3