### Edgar Filing: CommScope Holding Company, Inc. - Form 4

CommScope Holding Company, Inc. Form 4 March 03, 2017

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<b>FORM 4</b> UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL				
Washington, D.C. 20549										Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or				NGES IN BENEFICIAL OWNERSHIP						Expires: Estimated ar burden hour response		
Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section See Instruction 30(h) of the Investment Company Act of 1940 1(b).												
(Print or Type Responses)												
DRENDEL FRANK M Symbol				]					5. Relationship of Reporting Person(s) to Issuer			
			[COMI	mScope Holding Company, Inc. /IM]					(Check all applicable)			
									_X_ Director 10% Owner Officer (give title Other (specify			
(Month/Day/Year)     Image: Control of the second sec												
									6. Individual or Joint/Group Filing(Check Applicable Line)			
Filed(Mor HICKORY, NC 28602					/104	")			_X_Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tab	ole I - N	on-]	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/Da	Date, if Transactionor Disposed of (D) Code (Instr. 3, 4 and 5)				(D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	Amount	or (D)	Price \$	(Instr. 3 and 4)			
Common Stock	03/01/2017			S <u>(1)</u>		13,279	D		2,316,495 <u>(3)</u>	D		
Common Stock	03/01/2017			S <u>(1)</u>		1,721	D	\$ 39.426 (4)	2,314,774 <u>(3)</u>	D		
Common Stock	03/01/2017			S <u>(5)</u>		11,350	D	\$ 39.0068 (6)	33,200	Ι	By GRAT	
Common	03/01/2017			S <u>(5)</u>		800	D	\$	32,400	Ι	By	

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Stock					39.4913 (7)			GRAT
Common Stock	03/01/2017	S <u>(5)</u>	11,050	D	\$ 38.9869 (8)	33,500	Ι	By GRAT
Common Stock	03/01/2017	S <u>(5)</u>	1,100	D	\$ 39.4591 (9)	32,400	Ι	By GRAT
Common Stock	03/01/2017	S <u>(5)</u>	11,250	D	\$ 39.0054 (10)	33,300	I	By GRAT
Common Stock	03/01/2017	S <u>(5)</u>	900	D	\$ 39.4878 (11)	32,400	Ι	By GRAT
Common Stock	03/01/2017	S <u>(5)</u>	5,800	D	\$ 38.9656 (12)	18,950	I	by Family Trust
Common Stock	03/01/2017	S <u>(5)</u>	950	D	\$ 39.4005 (13)	18,000	I	by Family Trust
Common Stock	03/01/2017	S <u>(5)</u>	46,193	D	\$ 39.002 (14)	138,583	Ι	by Marital Trust
Common Stock	03/01/2017	S <u>(5)</u>	4,200	D	\$ 39.4619 (15)	134,383	I	by Marital Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities	3	(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr
					(Instr. 3,				
					4, and 5)				
				Code V	(A) (D)		Title		

Date Expiration Exercisable Date

Amount or Number of Shares

## **Reporting Owners**

		Relationships					
Reporti	Director	10% Owner	Officer	Other			
DRENDEL FRAN C/O COMMSCOP 1100 COMMSCOP HICKORY, NC 28	Х						
Signatures							
/s/Frank M. Drendel	03/03/2017						
<u>**</u> Signature of Reporting Person	Date						

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 5, (1) 2016.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.34 to (2) \$39.33. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

As previously reported, includes (a) 9,832 restricted stock units that were granted on 02/27/2017 and will vest in three equal installments on 02/27/2018, 02/27/2019, and 02/27/2020; (b) 9,980 restricted stock units that were granted on 02/23/2016 and will vest ratably on 02/23/2018 and 02/23/2019; (c) 3,974 restricted stock units that were granted on 02/24/2015 and will vest on 02/24/2018; (d) 17,304

(3) performance share units that were earned on 02/23/2017 and will vest ratably on 02/23/2018 and 02/23/2019; and (e) 4,655 performance share units that were earned on 02/23/2017 and will vest on 02/24/2018, each subject to the reporting person's continued employment with the issuer.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.34 to (4) \$39.63. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The transaction reported in this Form 4 was effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on (5) November 16, 2016.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.35 to \$39.32. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or (6) a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.38 to (7) \$39.61. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.35 to (8) \$39.31. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

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The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.37 to
 (9) \$39.56. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.34 to
(10) \$39.32. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.38 to
 (11) \$39.61. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.27 to
 (12) \$39.26. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.29 to
(13) \$39.60. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$38.34 to
(14) \$39.32. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

The price shown is the weighted average prices of the shares sold in this transaction. The price range for this transaction is \$39.34 to
 (15) \$39.63. The reporting person undertakes to provide, upon request by the staff of the Securities and Exchange Commission, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price for this transaction.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.