Edgar Filing: Ben-Natan Nimrod - Form 4

Ben-Natan N Form 4 August 17, 2											
e									OMB A	PPROVAL	
FORM		ITIES AI	OMB Number:	3235-0287							
Check thi if no long subject to Section 1 Form 4 o	6. r	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5	
Form 5 obligation may cont <i>See</i> Instru 1(b).	inue. Section 1	7(a) of the	Public Ut		ing Com	pany	Act o	ge Act of 1934, f 1935 or Sectio 40	n		
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> Ben-Natan Nimrod			2. Issuer Name and Ticker or Trading Symbol HARMONIC INC [HLIT]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Cl					(Chec	eck all applicable)		
4300 NORTH FIRST STREET			(Month/Day/Year) 08/15/2017					Director 10% Owner Officer (give title Other (specify below) SVP and GM, Edge Business			
				ndment, Dat th/Day/Year)	-			 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SAN JUSE,	CA 95154							Person			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)		Transaction Date 2A. Deeme Aonth/Day/Year) Execution I any (Month/Day		Date, if TransactionAcquired (A) or Code Disposed of (D)))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
-				Code V		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	08/15/2017			М	4,100 (1)	А	\$0	66,021	D		
Common Stock	08/15/2017			М	4,012 (2)	А	\$0	70,033	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	\$ 0	08/15/2017		М	4,100	02/15/2016	02/15/2018	Common Stock	4,100
Restricted Stock Unit	\$ 0	08/15/2017		М	4,012	02/15/2017	02/15/2018	Common Stock	4,012

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ben-Natan Nimrod 4300 NORTH FIRST STREET SAN JOSE, CA 95134			SVP and GM, Edge Business				
Signatures							
/s/ Laura Donovan By Attorney-in- Donovan	08/17/2017						
<u>**</u> Signature of Reporting Perso	on		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2017. These restricted stock units were initially granted to the Reporting Person on 3/13/2015, and were identified on a Form 4 filed by the Reporting Person on 3/17/2015.
- (2) These shares of common stock were acquired upon the vesting of restricted stock units on 8/15/2017. These restricted stock units were initially granted to the Reporting Person on 3/14/2016, and were identified on a Form 4 filed by the Reporting Person on 3/16/2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.