LIU ALBERT

Form 4

December 05, 2017

INC., 88 WEST PLUMERIA

**DRIVE** 

## **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading LIU ALBERT Issuer Symbol VERIFONE SYSTEMS, INC. [PAY] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title Other (specify C/O VERIFONE SYSTEMS. 12/03/2017

(Street)
4. If Amendment, Date Original
6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year)
Applicable Line)

\_X\_ Form filed by One Reporting Person

below)

below)

EVP, Corp Dev & Gen Counsel

Form filed by More than One Reporting SAN JOSE, CA 95134 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3) Code (Instr. 3, 4 and 5) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 8) Owned (D) or Ownership Indirect (I) (Instr. 4) Following Reported (Instr. 4) (A) Transaction(s) or (Instr. 3 and 4) Price Code V Amount (D) Common Stock, par 12/03/2017 M 21,429 \$ 0 (1) D A 142,236 value \$0.01 per share Common Stock, par 12/03/2017 F 8,503 D 133,733 D 17.66 value \$0.01 per share Common 12/03/2017 M 21,428 \$ 0 (1) 155,161 D Α Stock, par value \$0.01

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per share

Common

Stock, par value \$0.01 12/03/2017 F 10,678 D \$ 17.66 144,483 D

per share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     | 8 II S |
|---|---|--------------------------------------|---|---|--|--|--------------------|---|-------------------------------------|--------|
|   |   |                                      |   | Code V                                  | (A) (D)  | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |        |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 12/03/2017                           |   | M                                       | 21,429   | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share          | 21,429                              |        |
| Restricted<br>Stock<br>Units                        | <u>(1)</u>  | 12/03/2017                           |   | М                                       | 21,428   | <u>(1)</u>   | <u>(1)</u>         | Common<br>Stock, par<br>value<br>\$0.01 per<br>share          | 21,428                              |        |

## **Reporting Owners**

| Reporting Owner Name / Address   | Relationships |           |                                   |       |  |  |  |
|--|---------------|-----------|-----------------------------------|-------|--|--|--|
| <b>F 8</b>   | Director      | 10% Owner | Officer                           | Other |  |  |  |
| LIU ALBERT<br>C/O VERIFONE SYSTEMS, INC.<br>88 WEST PLUMERIA DRIVE<br>SAN JOSE, CA 95134 |               |           | EVP, Corp<br>Dev & Gen<br>Counsel |       |  |  |  |

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## **Signatures**

/s/ Albert Liu, by Vik Varma, his Attorney-in-Fact

12/05/2017

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On December 3, 2017, shares of the issuer's common stock underlying these restricted stock units became deliverable without the payment of any consideration and were delivered to the reporting person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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