Park Min S Form 4 December 19, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

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January 31, Expires:

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**OMB APPROVAL** 

burden hours per response...

if no longer subject to Section 16. Form 4 or Form 5

Check this box

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Park Min S Issuer Symbol HANMI FINANCIAL CORP (Check all applicable) [HAFC] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 3660 WILSHIRE BLVD., PH-A 12/15/2017 Chief Credit Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

#### LOS ANGELES, CA 90010

(State)

(Zip)

(City)

| (City)                               | (State) (Z                              | Zip) Table                        | I - Non-Do        | erivative Securities                             | Acquired, Disposed                                       | of, or Beneficia                 | lly Owned                        |
|--------------------------------------|---|-----------------------------------|-------------------|--|--|----------------------------------|----------------------------------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed Execution Date, if any | 3.<br>Transaction | 4. Securities on Acquired (A) or Disposed of (D) | 5. Amount of Securities Beneficially                     | 6. Ownership Form: Direct (D) or | 7. Nature of Indirect Beneficial |
| ,,                                   |   | (Month/Day/Year)                  | (Instr. 8)        | (Instr. 3, 4 and 5)  (A)  or  Amount (D) Pri     | Owned Following Reported Transaction(s) (Instr. 3 and 4) | Indirect (I)<br>(Instr. 4)       | Ownership<br>(Instr. 4)          |
| Common<br>Stock                      | 12/15/2017                              |                                   | A                 | 35 <u>(1)</u> A \$ 0                             | 14,345   | D                                |                                  |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Person

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Park Min S - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and     | 7. Title a | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|--------------|-----------------|------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D | ate             | Amount     | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day   | Year)           | Underlyi   | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivativ  | e            |                 | Securitie  | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities | 3            |                 | (Instr. 3  | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |              |                 |            |        |             | Follo  |
|             |             |                     |                    |            | (A) or     |              |                 |            |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |              |                 |            |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |              |                 |            |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |              |                 |            |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |              |                 |            |        |             |        |
|             |             |                     |                    |            |            |              |                 | ٨          | mount  |             |        |
|             |             |                     |                    |            |            |              |                 | 01         |        |             |        |
|             |             |                     |                    |            |            |              | Expiration Date |            | umber  |             |        |
|             |             |                     |                    |            |            |              |                 | of         |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |              |                 |            | hares  |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |
| Park Min S                     |               |           | Chief   |       |  |  |
| 3660 WILSHIRE BLVD., PH-A      |               |           | Credit  |       |  |  |
| LOS ANGELES, CA 90010          |               |           | Officer |       |  |  |

# **Signatures**

/s/ Min Park 12/19/2017 \*\*Signature of Date Reporting Person

## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Restricted stock grant issued under the 2013 Equity Compensation Plan with vesting over one year from the grant date, December 15, **(1)** 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. nts or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).(1)Acquired pursuant to election to receive shares of Hilton common stock in lieu of quarterly director retainer fees under the Hilton Hotels Corporation Director's Stock and Deferred Retainer Plan ("Deferred Retainer Plan").(2) Acquired pursuant to election to receive stock units in lieu of quarterly director retainer fees under the Deferred Retainer Plan. Stock units settle for common stock on a one-for-one basis upon the participant's retirement from the Board.(3)Includes stock units attributable to participant's account under the Deferred Retainer Plan. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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