

SUBIN NEIL S
Form 3
January 22, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â SUBIN NEIL S
(Last) (First) (Middle)

3300 SOUTH DIXIE
HIGHWAY, Â SUITE 1-365

(Street)

WEST PALM
BEACH, Â FL Â 33405

(City) (State) (Zip)

2. Date of Event Requiring Statement

(Month/Day/Year)
01/12/2018

3. Issuer Name and Ticker or Trading Symbol
Capstone Therapeutics Corp. [CAPS]

4. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer Other
(give title below) (specify below)

5. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	2,914,484 ⁽¹⁾ ⁽²⁾	I	By LIM III - Trust A-4
Common Stock	2,914,484 ⁽¹⁾ ⁽²⁾	I	By MBM - Trust A-4
Common Stock	641,218 ⁽¹⁾ ⁽²⁾	I	By Trust C - Lloyd I. Miller
Common Stock	90,344 ⁽¹⁾ ⁽²⁾	I	By Trust D - Lloyd I. Miller
Common Stock	472,792 ⁽¹⁾ ⁽²⁾	I	By Milfam II L.P.
Common Stock	624,100 ⁽¹⁾ ⁽²⁾	I	By LIMFAM LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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