

BUFFETT WARREN E

Form 4

July 17, 2018

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BUFFETT WARREN E

2. Issuer Name **and** Ticker or Trading
Symbol
BERKSHIRE HATHAWAY INC
[BRK.A]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
3555 FARNAM STREET
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
07/16/2018

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
Chairman and CEO

OMAHA, NE 68131

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|-----------------------|------------|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price | | |
| Class B Common Stock | 03/12/2018 ⁽¹⁾ | | G | V | 23,481 ⁽¹⁾ | D | \$ 0 | 106,176 | D |
| Class B Common Stock | 03/23/2018 ⁽¹⁾ | | G | V | 5,822 ⁽¹⁾ | D | \$ 0 | 100,354 | D |
| Class B Common Stock | 03/27/2018 ⁽¹⁾ | | G | V | 5,017 ⁽¹⁾ | D | \$ 0 | 95,337 | D |
| Class B Common | 04/13/2018 ⁽¹⁾ | | G | V | 125 ⁽¹⁾ | D | \$ 0 | 95,212 | D |

Edgar Filing: BUFFETT WARREN E - Form 4

Stock

Class B

Common 05/08/2018⁽¹⁾

Stock

G V 15,122 ⁽¹⁾ D \$ 0 80,089 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 524 ⁽¹⁾ D \$ 0 79,565 D

Class B

Common 07/16/2018

Stock

C 17,800,500 A \$ 0 17,880,065 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 13,509,002 ⁽¹⁾ D \$ 0 4,371,063 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 1,350,900 ⁽¹⁾ D \$ 0 3,020,163 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 945,626 ⁽¹⁾ D \$ 0 2,074,537 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 945,626 ⁽¹⁾ D \$ 0 1,128,911 D

Class B

Common 07/16/2018⁽¹⁾

Stock

G V 945,626 ⁽¹⁾ D \$ 0 183,285 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Pr Deriv Secu (Instr |
|---|--|---|---|--------------------------------------|--|--|--------------------|--|--|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |

| | | | | | | | | |
|----------------------------|------------|------------|---|--------|------------|------------|-------------------------------|------------|
| Class A Common Stock | <u>(2)</u> | 07/16/2018 | C | 11,867 | <u>(3)</u> | <u>(3)</u> | See Footnote <u>(2)</u> | <u>(2)</u> |
|----------------------------|------------|------------|---|--------|------------|------------|-------------------------------|------------|

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|------------------|-------|
| | Director | 10% Owner | Officer | Other |
| BUFFETT WARREN E 3555 FARNAM STREET OMAHA, NE 68131 | X | X | Chairman and CEO | |

Signatures

/s/ Warren E. Buffett 07/17/2018

 Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each of these reported transactions was a gift from Warren E. Buffett to a charity or charitable foundation.
- (2) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock. In accordance with the instructions to Form 4, my holdings of Class A Common Stock are reportable in Table II.
- (3) Not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.