Edgar Filing: O'Connor Michael J - Form 4

| O'Connor N Form 4 February 19 | | | | | | | | | | | |
|--|---|--|--------------------------------|------------------------------|---|-------------------|-------------------|--|--|---|--|
| FORM | Л 4 | ~ | | | | ~~~ . | | | OMB AF | PPROVAL | |
| . • | ••••• UNITED | STATES | | | | | NGE C | OMMISSION | OMB Number: | 3235-0287 | |
| Check t if no los subject Section Form 4 Form 5 | nger to STATEN 16. or | x Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | | | |
| obligati may co <i>See</i> Inst 1(b). (Print or Type | ons ntinue. truction | (a) of the | Public U | | lding Coi | npan | y Act of | 1935 or Section | | | |
| | | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> O'Connor Michael J | | | Symbol | er Name an c [AON] | d Ticker of | Tradi | ng | 5. Relationship of Reporting Person(s) to Issuer | | | |
| | | | • | of Earliest 7 | Fransaction | | | (Check all applicable) | | | |
| 200 EAST RANDOLPH ST. | | | (Month/Day/Year) 02/14/2019 | | | | | Director 10% Owner X Officer (give title Other (specify below) Co-President | | | |
| CHICAGO | (Street) | | | endment, E onth/Day/Yea | - | al | | 6. Individual or Joi Applicable Line) _X_ Form filed by Or Form filed by Mo | ne Reporting Pe | rson | |
| (City) | (State) | (Zip) | Tak | la I Non | Doministing | Second | iting A age | Person | on Donoficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deem | ed Date, if | 3. | 4. Securit onor Dispos (Instr. 3, 4 | ies Ac ed of (| quired (A) (D) | irred, Disposed of, 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Class A Ordinary Stock | 02/14/2019 | | | A <u>(1)</u> | 36,966 | | <u>(1)</u> | 160,750.091 (2) | D | | |
| Class A Ordinary Stock | 02/14/2019 | | | F <u>(3)</u> | 15,495 | D | \$ 169.62 | 145,255.091 | D | | |
| Class A Ordinary Stock | 02/15/2019 | | | M <u>(4)</u> | 1,049 | А | <u>(4)</u> | 146,304.091 | D | | |
| Class A Ordinary | 02/15/2019 | | | F <u>(3)</u> | 465 | D | \$ 171.97 | 145,839.091 | D | | |

| Stock | | | | | | | |
|------------------------------|------------|--------------|-----|---|--------------|-------------|---|
| Class A Ordinary Stock | 02/15/2019 | M <u>(4)</u> | 696 | A | <u>(4)</u> | 146,535.091 | D |
| Class A Ordinary Stock | 02/15/2019 | F <u>(3)</u> | 309 | D | \$ 171.97 | 146,226.091 | D |
| Class A Ordinary Stock | 02/15/2019 | M <u>(4)</u> | 670 | A | <u>(4)</u> | 146,896.091 | D |
| Class A Ordinary Stock | 02/15/2019 | F <u>(3)</u> | 297 | D | \$ 171.97 | 146,599.091 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transacti Code (Instr. 8) | 5. Numb orDerivati Securitio Acquire Dispose (Instr. 3, 5) | ve es d (A) or d of (D) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amou of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|---------------------------------------|--|----------------------------------|--|-----------------------|--|--------------------------------|
| | | | | Code V | (A) | (D) | Date Exercisable | Expiration Date | Title | Amo or Num of Shar |
| Restrictive Share Unit (Right to Receive) | <u>(5)</u> | 02/14/2019 | | A | 1,857 | | (6) | 02/14/2022(6) | Class A Ordinary Shares | 1,8 |
| Restricted Share Unit (Right to Receive) | <u>(5)</u> | 02/15/2019 | | М | | 1,049 | (7) | 02/18/2019 <u>(7)</u> | Class A Ordinary Shares | (|
| Restricted Share Unit (Right to Receive) | <u>(5)</u> | 02/15/2019 | | М | | 696 | (8) | 02/16/2020(8) | Class A Ordinary Shares | 69 |
| | <u>(5)</u> | 02/15/2019 | | М | | 670 | (9) | 02/15/2021(9) | | 1,3 |

Restricted Share Unit (Right to Receive)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|--------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| O'Connor Michael J 200 EAST RANDOLPH ST. CHICAGO, IL | | | Co-President | | | | | |
| Signatures | | | | | | | | |

/s/Molly Johnson- Molly Johnson pursuant to power of attorney from Michael O'Connor

**Signature of Reporting Person

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents Class A Ordinary Shares upon the settlement of performance share unit awards originally granted approximately three years ago under the eleventh cycle of the Company's Leadership Performance Program. The number of shares issued was determined by the

- (1) Organization and Compensation Committee of the Board of Directors on February 14, 2019, based on the Company's performance for the period from January 1, 2016 to December 31, 2018.
- (2) Includes shares acquired under the Aon employee stock purchase plan on June 29, 2018 and December 31, 2018.
- (3) Class A Ordinary Shares withheld by the issuer for payment of withholding taxes in connection with the vesting of the award.
- (4) Class A Ordinary Shares acquired upon the vesting of a restricted share unit award.
- The restricted share unit award converts to Class A Ordinary Shares on a 1-for-1 basis. In accordance with U.K. law, the reporting person (5) agreed to pay the issuer the nominal value of US\$0.01 per share issued to the reporting person.
- The restricted share unit award vests in accordance with the terms of the Aon plc 2011 Incentive Compensation Plan as follows: 33 1/3% (6) of the award vests on each of the first through third anniversary of the date of the grant.
- A restricted share unit award was granted on February 18, 2016 and vests in accordance with the terms of the Aon plc 2011 Incentive (7)Compensation Plan: 33 1/3% of the award vests on each of the first through third anniversary of the date of grant.
- A restricted share unit award was granted on February 16, 2017 and vests in accordance with the terms of the Aon plc 2011 Incentive (8) Compensation Plan: 33 1/3% of the award vests on each of the first through third anniversary of the date of grant.
- A restricted share unit award was granted on February 15, 2018 and vests in accordance with the terms of the Aon plc 2011 Incentive (9) Compensation Plan: 33 1/3% of the award vests on each of the first through third anniversary of the date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

02/19/2019

Date