Edgar Filing: AEROHIVE NETWORKS, INC - Form 3

AEROHIVE NETWORKS, INC Form 3 September 19, 2014 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Papaefstathiou Efstathios			 Date of Event Requiring Statement (Month/Day/Year) 	3. Issuer Name and Ticker or Trading Symbol AEROHIVE NETWORKS, INC [HIVE]				
(Last)	(First)	(Middle)	09/17/2014		4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)
C/O AEROHIVE NETWORKS, INC., 330 GIBRALTAR DRIVE (Street) SUNNYVALE, CA 94089				(Check all applicable) <u>X</u> Director 10% Own <u>X</u> Officer Other (give title below) (specify below) SVP, Engineering		Owner	6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person	
SUINNYVAL	E,A CAA	94089						Form filed by More than One Reporting Person
(City)	(State)	(Zip)	Т	able I - N	on-Derivat	tive Securiti	es Be	neficially Owned
1.Title of Securi (Instr. 4)	ty		В	Amount of eneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	-
No securities	beneficiall	y owned	0	I		D <u>(1)</u>	Â	
Reminder: Repor owned directly o	r indirectly. Person informa require	is who resp ation conta ed to respo	ch class of securit bond to the coll ined in this for nd unless the for IB control num	lection of m are not orm displa		EC 1473 (7-02)	

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

OMB APPROVAL

OMB Number:	3235-0104
Expires:	January 31, 2005
Estimated a burden hour	
response	0.5

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	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Security	Direct (D) or Indirect (I) (Instr. 5)	
No securities beneficially owned	(1)	(1)	Not applicable (1)	0	\$ <u>(1)</u>	D <u>(1)</u>	Â

Reporting Owners

Reporting Owner Name / Address	Relationships					
F	Director	10% Owner	Officer	Other		
Papaefstathiou Efstathios C/O AEROHIVE NETWORKS, INC. 330 GIBRALTAR DRIVE SUNNYVALE, CA 94089	Â	Â	SVP, Engineering	Â		
Signatures						
/s/ Steve Debenham, by power of attorney	09/19/2014					
**Signature of Reporting Person		Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Not applicable.

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.