

LEDERER JAMES P
Form 4
January 03, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LEDERER JAMES P

(Last) (First) (Middle)
5775 MOREHOUSE DR.
(Street)

SAN DIEGO, CA 92121-1714

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
QUALCOMM INC/DE [QCOM]

3. Date of Earliest Transaction (Month/Day/Year)
12/31/2012

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Executive Vice President

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Common Stock | 01/02/2013 | | M | 5,503 | A \$ 44.75 | 31,926.9549 | D |
| Common Stock | 01/02/2013 | | S ⁽¹⁾ | 5,503 | D \$ 63.58 | 26,423.9549 | D |
| Common Stock | 01/02/2013 | | M | 2,500 | A \$ 35.66 | 28,923.9549 | D |
| Common Stock | 01/02/2013 | | S ⁽¹⁾ | 2,500 | D \$ 63.58 | 26,423.9549 | D |
| Common Stock | 01/02/2013 | | M | 2,500 | A \$ 35.66 | 28,923.9549 | D |

Edgar Filing: LEDERER JAMES P - Form 4

| | | | | | | | | |
|--------------|------------|------------------|-------|---|----------|-------------|---|-------------------------------------|
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 2,500 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | 01/02/2013 | M | 4,166 | A | \$ 52.87 | 30,589.9549 | D | |
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 4,166 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | 01/02/2013 | M | 1,000 | A | \$ 47.35 | 27,423.9549 | D | |
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 1,000 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | 01/02/2013 | M | 3,250 | A | \$ 43.24 | 29,673.9549 | D | |
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 3,250 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | 01/02/2013 | M | 500 | A | \$ 41.33 | 26,923.9549 | D | |
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 500 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | 01/02/2013 | M | 500 | A | \$ 41.33 | 26,923.9549 | D | |
| Common Stock | 01/02/2013 | S ⁽¹⁾ | 500 | D | \$ 63.58 | 26,423.9549 | D | |
| Common Stock | | | | | | 50 | I | by Custodial Account ⁽²⁾ |
| Common Stock | | | | | | 100 | I | by Joint Account ⁽³⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|-------|
| | | | | Code | V | (A) | (D) | Title |

Edgar Filing: LEDERER JAMES P - Form 4

| | | | | | | Date Exercisable | Expiration Date | | Ar or Nu of Sh |
|---|----------|------------|---|-------|-----|---------------------|--------------------|---|----------------------------|
| Non-Qualified Stock Option (right to buy) | \$ 35.66 | 01/02/2013 | M | 2,500 | (4) | 11/06/2018 | Common Stock | 2 | |
| Non-Qualified Stock Option (right to buy) | \$ 35.66 | 01/02/2013 | M | 2,500 | (4) | 11/06/2018 | Common Stock | 2 | |
| Non-Qualified Stock Option (right to buy) | \$ 41.33 | 01/02/2013 | M | 500 | (4) | 10/25/2017 | Common Stock | | |
| Non-Qualified Stock Option (right to buy) | \$ 41.33 | 01/02/2013 | M | 500 | (4) | 10/25/2017 | Common Stock | | |
| Non-Qualified Stock Option (right to buy) | \$ 43.24 | 01/02/2013 | M | 3,250 | (4) | 04/24/2018 | Common Stock | 3 | |
| Non-Qualified Stock Option (right to buy) | \$ 44.75 | 01/02/2013 | M | 5,503 | (5) | 11/08/2019 | Common Stock | 5 | |
| Non-Qualified Stock Option (right to buy) | \$ 47.35 | 01/02/2013 | M | 1,000 | (4) | 05/18/2018 | Common Stock | 1 | |
| Non-Qualified Stock Option (right to buy) | \$ 52.87 | 01/02/2013 | M | 4,166 | (4) | 08/03/2018 | Common Stock | 4 | |
| Phantom Stock Unit ⁽⁶⁾ | \$ 1 | 12/31/2012 | A | 1,351 | (7) | (8) | Common Stock | 1 | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| LEDERER JAMES P 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714 | | | Executive Vice President | |

Signatures

By: Jane Borneman, Attorney-in-Fact For: James P. Lederer
01/03/2013

 **Signature of Reporting Person

 Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The transaction was conducted under a 10b5-1 Plan, as defined under the Securities Exchange Act of 1934, as amended.
- (2) Securities held by Mitchell J. Lederer (UTMA/CA) and James P. Lederer (C/F).
- (3) Securities held in two separate Joint Accounts: 50 shares are held by Kyle R. Lederer and James P. Lederer (JTWROS) and 50 shares are held by Brian K. Lederer and James P. Lederer (JTWROS).
- (4) The options vest 10% on the six month anniversary of the date of grant and the remaining balance vests monthly thereafter. The option is fully vested five years after the date of grant.
- (5) Employee stock options granted under the Company's 2006 Long-Term Incentive Plan. The options vest on each six month date after the date of grant as to 1/8th of the total shares granted until fully vested four years from the date of grant.
- (6) The common stock issued under the terms of the Company's Executive Retirement Matching Contribution Plan, a tax conditioned plan, is exempt under Rule 16b-3. The shares are held in a grantor trust and stock is the only permissible form of distribution under the Plan.
- (7) The rights awarded under the Company's Executive Retirement Matching Contribution Plan will be eligible for distribution upon termination and vest 100% after 2 years of continuous service with the Company.
- (8) The rights awarded under the Company's Executive Retirement Matching Contribution Plan will be eligible for distribution upon termination.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.